

PREA AUDIT: PRE-AUDIT QUESTIONNAIRE

Facility: Lockups

Completed by:

Date of Final
Submission

AGENCY INFORMATION

Name of agency:

Date of last agency PREA audit(if
applicable):

Telephone:

Governing authority or parent agency (if
applicable):

Physical Address:

Mailing Address:

The Agency is:

- ☐ Federal: Bureau of Prisons ☐ Federal: Military ☐ State ☐ U.S.
Territory
☐ County or Multi-County ☐ Regional Authority ☐ City or Municipal
☐ Judicial District
☐ Private ☐ Other

Agency Mission (attach additional
document if necessary):

Upload Attachment (optional):

Agency Chief Executive Officer Information:

Name:

Title:

Email address:

Telephone number:

Agency-Wide PREA Coordinator Information:

Name:

Email:

PREA coordinator reports to:

Number of compliance managers who report to PREA coordinator:	
Agency website with PREA information:	
Is the agency accredited by any other organization?	☐ Yes ☐ No

FACILITY INFORMATION	
Facility name:	
Facility physical address:	
Facility mailing address:	
Facility website with PREA Information:	☐ N/A
Has the facility been accredited within the past 3 years?	☐ Yes ☐ No
If the facility has been accredited within the past 3 years, select the accrediting organization(s): Select all that apply (N/A if the facility has not been accredited within the past 3 years):	☐ ACA ☐ NCCHC ☐ CALEA ☐ Other(please name or describe): ☐ N/A
If your facility has completed any internal or external audits other than those that resulted in accreditation, please describe:	☐ N/A
Upload any relevant accreditation, internal, or external audit reports (referenced above):	☐ N/A

Primary Contact	
Name:	
Email Address:	
Telephone Number:	

Sheriff/Chief/Director

Name:	
Email Address:	
Telephone Number:	

Facility PREA Compliance Manager

Name:	
Email Address:	
Telephone Number:	

Facility Characteristics

Designed facility capacity:	
Current population of facility:	
Average daily population for the past 12 months:	
Has the facility been over capacity at any point in the past 12 months?	☐ Yes ☐ No
What is the facility's population designation?	
In the past 12 months, which population(s) has the facility held? Select all that apply (Nonbinary describes a person who does not identify exclusively as a boy/man or a girl/woman. Some people also use this term to describe their gender expression. For definitions of "intersex" and "transgender," please see https://www.prearesourcecenter.org/standard/115-5)	☐ Male ☐ Female ☐ Intersex ☐ Transgender ☐ Nonbinary
Age range of population:	
Average length of stay or time under supervision:	

Facility security levels/detainee custody levels:	
Are detainees held overnight?	☐ Yes ☐ No
Number of detainees who were held overnight at the facility during the past 12 months:	
Does the facility hold juveniles or youthful detainees?	☐ Yes ☐ No
Number of juveniles and youthful detainees held in the facility during the last 12 months: (N/A if the facility never holds juvenile or youthful detainees)	☐ N/A
Does the audited facility hold detainees for one or more other agencies (e.g. a State correctional agency, U.S. Marshals Service, Bureau of Prisons, U.S. Immigration and Customs Enforcement)?	☐ Yes ☐ No
Select all other agencies for which the audited facility holds detainees: Select all that apply (N/A if the audited facility does not hold detainees for any other agency or agencies):	☐ Federal Bureau of Prisons ☐ US Marshals Service ☐ U.S. Immigration and Customs Enforcement ☐ Bureau of Indian Affairs ☐ U.S. Military branch ☐ State or Territorial correctional agency ☐ County correctional or detention agency ☐ Judicial district correctional or detention facility ☐ City or municipal correctional or detention facility (e.g. police lockup or city jail) ☐ Private corrections or detention provider ☐ Other(please name or describe): ☐ N/A
Number of staff currently employed at the facility who may have contact with detainees:	
Number of staff hired by the facility during the past 12 months who may have contact with detainees:	
Number of contracts in the past 12 months	

for services with contractors who may have contact with detainees:	
Number of individual contractors who have contact with detainees, currently authorized to enter the facility:	
Number of volunteers who have contact with detainees, currently authorized to enter the facility:	
Physical Plant	
Number of buildings: Auditors should count all buildings that are part of the facility, whether residents are formally allowed to enter them or not. In situations where temporary structures have been erected (e.g., tents) the auditor should use their discretion to determine whether to include the structure in the overall count of buildings. As a general rule, if a temporary structure is regularly or routinely used to hold or house detainees, or if the temporary structure is used to house or support operational functions for more than a short period of time (e.g., an emergency situation), it should be included in the overall count of buildings.	
Number of housing units or holding areas: DOJ PREA Working Group FAQ on the definition of a housing unit: How is a "housing unit" defined for the purposes of the PREA Standards? The question has been raised in particular as it relates to facilities that have adjacent or interconnected units. The most common concept of a housing unit is architectural. The generally agreed-upon definition is a space that is enclosed by physical barriers accessed through one or more doors of various types, including commercial-grade swing doors, steel sliding doors, interlocking sally port doors, etc. In addition to the primary entrance and exit, additional doors are often included to meet life safety codes. The unit contains sleeping space, sanitary facilities	

(including toilets, lavatories, and showers), and a dayroom or leisure space in differing configurations. Many facilities are designed with modules or pods clustered around a control room. This multiple-pod design provides the facility with certain staff efficiencies and economies of scale. At the same time, the design affords the flexibility to separately house inmates of differing security levels, or who are grouped by some other operational or service scheme. Generally, the control room is enclosed by security glass, and in some cases, this allows residents to see into neighboring pods. However, observation from one unit to another is usually limited by angled site lines. In some cases, the facility has prevented this entirely by installing one-way glass. Both the architectural design and functional use of these multiple pods indicate that they are managed as distinct housing units.	
Number of single detainee cells, rooms, holding areas, or other enclosures:	
Number of multiple occupancy cells, rooms, holding areas, or other enclosures:	
Are juveniles or youthful detainees held separately from the adult population? (N/A if the facility never holds juvenile or youthful detainees)	☐ Yes ☐ No ☐ N/A
Does the facility have a video monitoring system, electronic surveillance system, or other monitoring technology (e.g. cameras, etc.)?	☐ Yes ☐ No
Has the facility installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology in the past 12 months?	☐ Yes ☐ No
Medical and Mental Health Services and Forensic Medical Exams	
Are medical services provided on-site?	☐ Yes ☐ No

Are mental health services provided on-site?	☐ Yes ☐ No
Where are sexual assault forensic medical exams provided? Select all that apply	☐ On-site ☐ Local hospital/clinic ☐ Rape Crisis Center ☐ Other(please name or describe):
Investigations	
Number of investigators employed by the agency and/or facility who are responsible for conducting CRIMINAL investigations into allegations of sexual abuse or sexual harassment:	
When the facility receives allegations of sexual abuse or sexual harassment (whether staff-on-detainee or detainee-on-detainee), CRIMINAL INVESTIGATIONS are conducted by: Select all that apply	☐ Facility investigators ☐ Agency investigators ☐ An external investigative entity
Select all external entities responsible for CRIMINAL INVESTIGATIONS: Select all that apply (N/A if no external entities are responsible for criminal investigations)	☐ Local police department ☐ Local sheriff's department ☐ State police ☐ A U.S. Department of Justice component ☐ Other(please name or describe): ☐ N/A
Number of investigators employed by the agency and/or facility who are responsible for conducting ADMINISTRATIVE investigations into allegations of sexual abuse or sexual harassment:	
When the facility receives allegations of sexual abuse or sexual harassment (whether staff-on-detainee or detainee-on-detainee), ADMINISTRATIVE INVESTIGATIONS are conducted by: Select all that apply	☐ Facility investigators ☐ Agency investigators ☐ An external investigative entity
Select all external entities responsible for ADMINISTRATIVE INVESTIGATIONS: Select all that apply (N/A if no external entities are responsible for administrative investigations)	☐ Local police department ☐ Local sheriff's department ☐ State police ☐ A U.S. Department of Justice component ☐ Other(please name or describe):

	☐ N/A
Facility Lists	
Upload staff rosters and lists of contractors and volunteers	☐ N/A
Upload rosters of persons confined in the facility	☐ N/A
Upload lists of sexual abuse and sexual harassment allegations (including how they were investigated)	☐ N/A
Upload other list(s) (e.g., lists of grievances and/or incident reports related to sexual abuse and sexual harassment)	☐ N/A
PREVENTION PLANNING	
§115.111 - Zero tolerance of sexual abuse and sexual harassment	
115.111 (a) - 1	The agency has a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment in facilities it operates directly or under contract. ☐ Yes ☐ No • Upload/select zero tolerance policy
115.111 (a) - 2	The facility has a policy outlining how it will implement the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment. ☐ Yes ☐ No • Upload/select policy outlining implementation plan
115.111 (a) - 3	The policy includes definitions of prohibited behaviors regarding sexual abuse and sexual harassment. ☐ Yes ☐ No
115.111 (a) - 4	The policy includes sanctions for those found to have participated in prohibited behaviors. ☐ Yes ☐ No

115.111 (a) - 5	The policy includes a description of agency strategies and responses to reduce and prevent sexual abuse and sexual harassment of detainees.	☐ Yes ☐ No
115.111 (b) - 1	The agency employs or designates an upper-level, agency-wide PREA coordinator. If "No", skip to 115.112. • Upload/select agency organizational chart	☐ Yes ☐ No
115.111 (b) - 2	The PREA coordinator has sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities.	☐ Yes ☐ No
115.111 (b) - 3	The position of the PREA coordinator in the agency's organizational structure:	
§115.112 - Contracting with other entities for the confinement of detainees		
115.112 (a) - 1	The law enforcement agency has entered into or renewed a contract for the confinement of detainees on or after August 20, 2012, or since the last PREA audit, whichever is later. If "No", skip to 115.113. • Upload/select contracts for the confinement of detainees entered into (or renewed) after August 20, 2012, or since the last PREA audit	☐ Yes ☐ No
115.112 (a) - 2	All of the above contracts require contractors to adopt and comply with PREA standards.	☐ Yes ☐ No
115.112 (a) -	The number of contracts for the confinement of detainees	

3	that the agency entered into or renewed with private entities or other government agencies on or after August 20, 2012, or since the last PREA audit, whichever is later:	
115.112 (a) - 4	The number of above contracts that DID NOT require contractors to adopt and comply with PREA standards:	
115.112 (b) - 1	All of the above contracts require the agency to monitor the contractor's compliance with PREA Standards. • If applicable, select contracts and indicate relevant page/section	☐ Yes ☐ No
115.112 (b) - 2	The number of contracts referenced in 115.112(a)-3 that DO NOT require the agency to monitor contractor's compliance with PREA standards:	
§115.113 - Supervision and monitoring		
115.113 (a) - 1	For each lockup, the agency develops and documents a staffing plan that provides for adequate levels of staffing, and, where applicable, video monitoring to protect detainees against abuse. • Upload/select: ◦ documentation of staffing plan development process ◦ staffing plan	☐ Yes ☐ No
115.113 (a) - 2	Since August 20, 2012, or last PREA audit, whichever is later, the average daily number of detainees:	

115.113 (a) - 3	Since August 20, 2012, or last PREA audit, whichever is later, the average daily number of detainees on which the staffing plan was predicated:	
115.113 (b) - 1	Each time the staffing plan is not complied with, the facility documents and justifies all deviations from the staffing plan. Check N/A if no deviations from plan. • Upload/select documentation of deviations from staffing plans and written justifications for all such deviations	☐ Yes ☐ No ☐ NA
115.113 (b) - 2	If documented, the six most common reasons for deviating from the staffing plan in the last 12 months:	
115.113 (c) - 1	At least once every year the facility reviews the staffing plan to see whether adjustments are needed in (a) the staffing plan, (b) the prevailing staffing patterns, (c) the deployment of monitoring technology, or (d) the allocation of the lockup's resources to commit to the staffing plan to ensure compliance with the staffing plan. • Upload/select documentation of annual reviews	☐ Yes ☐ No
115.113 (d) - 1	The facility utilizes a screening process required by § 115.141 to identify vulnerable detainees.	☐ Yes ☐ No
115.113 (d) - 2	Vulnerable detainees are provided with heightened protection. • Upload/select policy or other documentation of	☐ Yes ☐ No

	requirement	
§115.114 - Juveniles and youthful detainees		
115.114 (a) - 1	The facility holds juveniles and youthful detainees separately from adult detainees. • Upload/select policy on holding juveniles and youthful detainees	☐ Yes ☐ No
115.114 (a) - 2	In the past 12 months, the number of juveniles/youthful detainees held in the facility:	
115.114 (a) - 3	In the past 12 months, the number of juveniles/youthful detainees held in the same cell as adults in this facility: • Upload/select daily population reports for the last 12 months	☐ Yes ☐ No
§115.115 - Limits to cross-gender viewing and searches		
115.115 (a) - 1	The lockup conducts cross-gender strip or cross-gender visual body cavity searches of detainees. • Upload/select policy on searches	☐ Yes ☐ No
115.115 (a) - 2	In the past 12 months, the number of cross-gender strip or cross-gender visual body cavity searches of detainees:	
115.115 (b) - 1	Facility policy requires that all cross-gender strip searches and cross-gender visual body cavity searches be documented.	☐ Yes ☐ No

	If applicable, select policy on searches and indicate relevant page/section	
115.115 (c) - 1	The facility has implemented policies and procedures that enable detainees to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks (this includes viewing via video camera). - Upload/select: - policy on cross-gender viewing - logs of exigent circumstances that may require deviance from the standard	☐ Yes ☐ No
115.115 (c) - 2	Policies and procedures require staff of the opposite gender to announce their presence when entering an area where detainees are likely to be showering, performing bodily functions, or changing clothing.	☐ Yes ☐ No
115.115 (d) - 1	The facility has a policy prohibiting staff from searching or physically examining a transgender or intersex detainee for the sole purpose of determining the detainee's genital status. Upload/select policy on transgender or intersex inmates	☐ Yes ☐ No
115.115 (d) - 2	Such searches (described in 115.115(d)-1) occurred in the past 12 months.	☐ Yes ☐ No
115.115 (e) - 1	The percent of all law enforcement staff who received training on conducting cross-gender pat-down searches and searches of transgender and intersex detainees in a	

	professional and respectful manner, consistent with security needs. (The percentage given does not necessarily indicate compliance or non-compliance with the standard): • Upload/select: ◦ training curricula ◦ training logs	
§115.116 - Detainees with disabilities and detainees who are limited English proficient		
115.116 (a) - 1	The agency has established procedures to provide disabled detainees equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment. • Upload/select: ◦ policy/documentation of procedures ◦ contracts with interpreters or other professionals hired to ensure effective communication with detainees who have disabilities ◦ written materials used for effective communication about PREA with detainees with disabilities ◦ documentation of staff training on PREA-compliant practices for detainees with disabilities	☐Yes ☐No
115.116 (b) - 1	The agency has established procedures to provide detainees with limited English proficiency equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment. • Upload/select: ◦ policy/documentation of procedures ◦ contracts with interpreters or other professionals hired to ensure effective	☐Yes ☐No

	communication with detainees with Limited English Proficiency ◦ written materials used for effective communication about PREA with detainees with Limited English Proficiency ◦ documentation of staff training on PREA-compliant practices for detainees with Limited English Proficiency	
115.116 (c) - 1	Agency policy prohibits use of detainee interpreters, detainee readers, or other types of detainee assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the detainee's safety, the performance of first-response duties under § 115.164, or the investigation of the detainee's allegations. • Upload/select policy on detainee interpreters, readers, or assistants	☐ Yes ☐ No
115.116 (c) - 2	If YES, the agency or facility documents the limited circumstances in individual cases where detainee interpreters, readers, or other types of detainee assistants are used. (Absence of such documentation does not result in noncompliance with the standard.)	☐ Yes ☐ No
115.116 (c) - 3	In the past 12 months, the number of instances where detainee interpreters, readers, or other types of detainee assistants have been used and it was not the case that an extended delay in obtaining another interpreter could compromise the detainee's safety, the performance of first-response duties under § 115.164, or the investigation of the detainee's allegations:	
§115.117 - Hiring and promotion decisions		
115.117 (a) - 1	Agency policy prohibits hiring or promoting anyone who may have contact with detainees and prohibits enlisting the services of any contractor who may have contact with	☐ Yes ☐ No

	detainees who: (1) Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997); (2) Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or (3) Has been civilly or administratively adjudicated to have engaged in the activity described in paragraph (a)(2) of this section • Upload/select policy for hiring and promoting	
115.117 (b) - 1	Agency policy requires the consideration of any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with detainees. • If applicable, select policy on hiring and promotions and indicate relevant page/section	☐ Yes ☐ No
115.117 (c) - 1	Agency policy requires that before it hires any new employees who may have contact with detainees, it (a) conducts criminal background record checks, and (b) consistent with federal, state, and local law, makes its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse. • If applicable, select policy on hiring and promotions and indicate relevant page/section	☐ Yes ☐ No
115.117 (c) - 2	In the past 12 months, the number of persons hired who may have contact with detainees who have had criminal background record checks:	
115.117 (d) -	Agency policy requires that a criminal background record	☐ Yes ☐ No

1	check be completed before enlisting the services of any contractor who may have contact with detainees. If applicable, select policy on hiring and promotions and indicate relevant page/section	
115.117 (d) - 2	In the past 12 months, the number of contracts for services where criminal background record checks were conducted on all staff covered in the contract who might have contact with detainees:	
115.117 (e) - 1	Agency policy requires that either criminal background record checks be conducted at least every five years for current employees and contractors who may have contact with detainees or that a system is in place for otherwise capturing such information for current employees. Upload/select policy on background checks of current employees/contractors	☐ Yes ☐ No
115.117 (g) - 1	Agency policy states that material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination. If applicable, select policy on hiring and promotions and/or policy on background checks and indicate relevant page(s)/section(s)	☐ Yes ☐ No
§115.118 - Upgrades to facilities and technologies		
115.118 (a) - 1	The agency/facility has acquired a new facility or made a substantial expansion or modification to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.	☐ Yes ☐ No

115.118 (b) - 1	The agency/facility has installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.	☐ Yes ☐ No
RESPONSIVE PLANNING		
§115.121 - Evidence protocol and forensic medical examinations		
115.121 (a) - 1	The agency/facility is responsible for conducting administrative sexual abuse investigations (including detainee-on-detainee sexual abuse or staff sexual misconduct).	☐ Yes ☐ No
115.121 (a) - 2	The agency/facility is responsible for conducting criminal sexual abuse investigations (including detainee-on-detainee sexual abuse or staff sexual misconduct).	☐ Yes ☐ No
115.121 (a) - 3	If another agency has responsibility for conducting either administrative or criminal investigations, the name of the agency that has responsibility (if another agency has responsibility for conducting both administrative and criminal sexual abuse investigations, skip to 115.21 (b)-3).	
115.121 (a) - 4	When conducting a sexual abuse investigation, the agency investigators follow a uniform evidence protocol. • Upload/select uniform evidence protocol	☐ Yes ☐ No
115.121 (b) - 1	The protocol is developmentally appropriate for youth.	☐ Yes ☐ No ☐ NA

	If applicable, select uniform evidence protocol and indicate relevant page/section	
115.121 (b) - 2	The protocol was adapted from or otherwise based on the most recent edition of the DOJ's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011. If "No", indicate the source used to develop the protocol in the comments section. Upload/select alternative source (if applicable)	☐ Yes ☐ No
115.121 (b) - 3	Employees and volunteers who may have contact with detainees receive basic training regarding how to detect and respond to victims of sexual abuse. Upload/select any relevant documentation (e.g. policies, training curriculum, training logs).	☐ Yes ☐ No
115.121 (c) - 1	The facility offers all detainees who experience sexual abuse access to forensic medical examinations. If no, skip to 115.121 (d)-1.	☐ Yes ☐ No
115.121 (c) - 2	Forensic medical examinations are offered without financial cost to the victim. Upload/select documentation that forensic medical exams are offered for free	☐ Yes ☐ No
115.121 (c) - 3	Where possible, examinations are conducted by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs). If "Sometimes", please describe situations when SAFEs or SANEs are not used in the comments section.	☐ Yes ☐ No ☐ Sometimes

115.121 (c) - 4	When SANEs or SAFEs are not available, a qualified medical practitioner performs forensic medical examinations.	☐ Yes ☐ No
115.121 (c) - 5	The facility documents efforts to provide SANEs or SAFEs. • Upload/select documentation of efforts to provide SAFEs/SANEs	☐ Yes ☐ No
115.121 (c) - 6	The number of forensic medical exams conducted during the past 12 months:	
115.121 (c) - 7	The number of exams performed by SANEs/SAFEs during the past 12 months:	
115.121 (c) - 8	The number of exams performed by a qualified medical practitioner during the past 12 months:	
115.121 (d) - 1	Victims of sexual abuse are transported for forensic examination to an outside hospital. If "No", skip to 115.121(e)-1.	☐ Yes ☐ No
115.121 (d) - 2	If YES, victims are permitted to use any existing victim advocacy services said hospital may offer, consistent with security needs. • Upload/select relevant documentation	☐ Yes ☐ No

115.121 (d) - 3	In the past 12 months, the number of detainees transported to outside hospital for forensic examination:	
115.121 (d) - 4	In the past 12 months, the number of detainees transported to outside hospital for forensic examination who were offered victim advocacy services:	
115.121 (e) - 1	If the agency is not responsible for investigating administrative or criminal allegations of sexual abuse and relies on another agency to conduct these investigations, the agency has requested that the responsible agency follow the requirements of paragraphs §115.121 (a) through (e) of the standards. Check N/A if the agency/facility is responsible for conducting criminal and administrative sexual abuse investigations. • Upload/select agreements/MOUs with responsible agency	☐ Yes ☐ No ☐ NA
§115.122 - Policies to ensure referrals of allegations for investigations		
115.122 (a) - 1	The agency ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment (including detainee-on-detainee sexual abuse and staff sexual misconduct). • Upload/select policies and/or procedures governing investigations of allegations of sexual abuse and sexual harassment	☐ Yes ☐ No
115.122 (a) - 2	During the past 12 months, the number of allegations of sexual abuse and sexual harassment that were received:	
115.122 (a) -	During the past 12 months, the number of allegations	

3	resulting in an administrative investigation:	
115.122 (a) - 4	During the past 12 months, the number of allegations referred for criminal investigation:	
115.122 (a) - 5	Referring to allegations received during the past 12 months, all administrative and/or criminal investigations were completed. If "No", please explain in the comments section.	☐ Yes ☐ No
115.122 (b) - 1	If another law enforcement agency is responsible for conducting investigations of allegations of sexual abuse or sexual harassment in its facilities, the agency has a policy that requires that allegations of sexual abuse or sexual harassment be referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior. Check N/A if the agency is responsible for conducting its own administrative AND criminal investigations. If "No" or "N/A", skip to 115.222(c)-1. • Upload/select investigative policy	☐ Yes ☐ No ☐ NA
115.122 (b) - 2	Agency policy regarding the referral of allegations of sexual abuse or sexual harassment for criminal investigation is published on the agency website or made publicly available via other means.	☐ Yes ☐ No
115.122 (b) - 3	The agency documents all referrals of allegations of sexual abuse or sexual harassment for criminal investigation.	☐ Yes ☐ No

TRAINING AND EDUCATION

§115.131 - Employee and volunteer training

115.131 (a) - 1	The agency trains all employees and volunteers who may have contact with detainees to be able to fulfill their responsibilities under agency sexual abuse prevention, detection, and response policies and procedures, including training on the agency's zero-tolerance policy and detainees' right to be free from sexual abuse and sexual harassment • Upload/select: ◦ training policy and/or procedures ◦ training curriculum	☐ Yes ☐ No
115.131 (a) - 2	The agency trains all employees and volunteers who may have contact with detainees on the dynamics of sexual abuse and harassment in confinement settings, including which detainees are most vulnerable in lockup settings. • If applicable, select training curriculum and indicate relevant page/section	☐ Yes ☐ No
115.131 (a) - 3	The agency trains all employees and volunteers who may have contact with detainees on the right of detainees and employees to be free from retaliation for reporting sexual abuse or harassment. • If applicable, select training curriculum and indicate relevant page/section	☐ Yes ☐ No
115.131 (a) - 4	The agency trains all employees and volunteers who may have contact with detainees on how to detect and respond to signs of threatened and actual abuse. • If applicable, select training curriculum and indicate relevant page/section	☐ Yes ☐ No

115.131 (a) - 5	The agency trains all employees and volunteers who may have contact with detainees on how to communicate effectively and professionally with all detainees. • If applicable, select training curriculum and indicate relevant page/section	☐ Yes ☐ No
115.131 (a) - 6	The agency trains all employees and volunteers who may have contact with detainees on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities. • If applicable, select training curriculum and indicate relevant page/section	☐ Yes ☐ No
115.131 (b) - 3	Between trainings the agency provides employees and volunteers who may have contact with detainees with information about current policies regarding sexual abuse and sexual harassment. If "YES", please describe in the comments section. • If applicable, select training curriculum and indicate relevant page/section	☐ Yes ☐ No
115.131 (b) - 4	The frequency with which employees and volunteers who may have contact with detainees receive refresher training on PREA requirements.	
115.131 (c) - 1	The agency documents that employees who may have contact with detainees understand the training they have received through employee signature or electronic verification.	☐ Yes ☐ No
§115.132 - Detainee, contractor, and inmate worker notification of the agency's zero-tolerance policy		

115.132 (a) - 1	During the intake process, employees notify all detainees of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment. • Upload agency/facility policy governing PREA education of detainees	☐ Yes ☐ No
115.132 (a) - 2	Of detainees admitted during the past 12 months, the number who were given this information at intake (if available):	
115.132 (b) - 1	Contractors and any inmates who work in the facility are informed of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment upon entering the facility.	☐ Yes ☐ No
115.132 (b) - 2	All contractors and inmates who work in the lockup are informed upon entering the lockup of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment:	☐ Yes ☐ No
§115.134 - Specialized training: Investigations		
115.134 (a) - 1	Agency policy requires that investigators are trained in conducting sexual abuse investigations in confinement settings. Check N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations and skip to 115.141(a)-1. • Upload/select: ◦ training policy ◦ training curriculum for investigators	☐ Yes ☐ No ☐ NA
115.134 (c) - 1	The agency maintains documentation showing that investigators have completed the required training.	☐ Yes ☐ No

	• Upload/select documentation that investigators have completed training	
115.134 (c) - 2	The number of investigators currently employed who have completed the required training:	
SCREENING FOR RISK OF SEXUAL VICTIMIZATION AND ABUSIVENESS		
§115.141 - Screening for risk of victimization and abusiveness		
115.141 (a) - 1	The facility is used to house detainees overnight. If YES, skip to 115.141(b). If NO, complete 115.141(a)-2 and 3 AND skip to 115.151.	☐ Yes ☐ No
115.141 (a) - 2	Before placing any detainees together in a holding cell, staff considers whether a detainee may be at a high risk of being sexually abused. • Upload/select screening policy	☐ Yes ☐ No
115.141 (a) - 3	When appropriate, staff takes necessary steps to mitigate any such danger to the detainee.	☐ Yes ☐ No
115.141 (b) - 1	The agency has a policy that requires that detainees be screened to assess their risk of sexual victimization or risk of sexually abusing other detainees. • Upload/select: ◦ screening policy ◦ screening instrument	☐ Yes ☐ No
115.141 (b) -	In the past 12 months, the number of detainees who were	

2	screened for risk of sexual victimization or risk of sexually abusing other detainees:	
REPORTING		
§115.151 - Detainee reporting		
115.151 (a) - 1	The agency has established procedures allowing for multiple internal ways for detainees to report privately to agency officials about: (a) sexual abuse or sexual harassment; (b) retaliation by other detainees or staff for reporting sexual abuse and sexual harassment; and (c) staff neglect or violation of responsibilities that may have contributed to such incidents. • Upload/select: ◦ detainee reporting policy ◦ other relevant documentation on detainee reporting (e.g. detainee handbooks)	☐ Yes ☐ No
115.151 (b) - 1	The agency informs detainees of at least one way to report abuse or harassment to a public or private entity or office that is not part of the agency. • Upload/select documentation of agreement with outside public or private entity responsible for taking reports • If applicable, also select detainee reporting policy and indicate relevant page/section	☐ Yes ☐ No
115.151 (c) - 1	The agency has a policy mandating that staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties. • If applicable, select detainee reporting policy and other relevant documentation on detainee reporting (e.g. detainee handbooks) and indicate relevant page/section	☐ Yes ☐ No

115.151 (c) - 2	Staff are required to document verbal reports. If "Yes", please provide the timeframe within which staff are required to document the reports in the comments section. If "No", please explain in the comments section. • Upload/select documentation made of verbal reports	☐ Yes ☐ No
115.151 (d) - 1	The agency has established procedures for staff to privately report sexual abuse and sexual harassment of detainees. If "Yes", please describe the procedures in the comments section. If "No", please explain in the comments. • Upload/select staff reporting policy or procedures	☐ Yes ☐ No
115.151 (d) - 2	Staff are informed of these procedures in the following ways: • Upload/select any other relevant documentation, such as staff handbooks	
§115.154 - Third-party reporting		
115.154 (a) - 1	The agency or facility provides a method to receive third-party reports of detainee sexual abuse or sexual harassment. If "Yes", please describe the method in the comments section.	☐ Yes ☐ No
115.154 (a) - 2	The agency or facility publicly distributes information on how to report detainee sexual abuse or sexual harassment on behalf of detainees. If "Yes", please describe in the comments section. • Upload/select publicly distributed information	☐ Yes ☐ No

OFFICIAL RESPONSE FOLLOWING A DETAINEE REPORT**§115.161 - Staff and agency reporting duties**

115.161 (a) - 1	The agency requires all staff to report immediately and according to agency policy any knowledge, suspicion, or information they receive regarding an incident of sexual abuse or sexual harassment that that occurred in an agency lockup. • Upload/select policy on staff and agency reporting duties	☐ Yes ☐ No
115.161 (a) - 2	The agency requires all staff to report immediately and according to agency policy any retaliation against detainees or staff who reported such an incident. • If applicable, select policy on staff and agency reporting duties and indicate relevant page/section	☐ Yes ☐ No
115.161 (a) - 3	The agency requires all staff to report immediately and according to agency policy any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation. • If applicable, select policy on staff and agency reporting duties and indicate relevant page/section	☐ Yes ☐ No
115.161 (b) - 1	Apart from reporting to designated supervisors or officials and designated state or local services agencies, agency policy prohibits staff from revealing any information related to a sexual abuse report to anyone other than to the extent necessary to make treatment, investigation, and other security and management decisions. • If applicable, select policy on staff and agency reporting duties and indicate relevant page/section	☐ Yes ☐ No

§115.162 - Agency protection duties

115.162 (a) - 1	When the agency or facility learns that a detainee is subject to a substantial risk of imminent sexual abuse, it takes immediate action to protect the detainee (i.e., it takes some action to assess and implement appropriate protective measures without unreasonable delay). • Upload/select policy on agency/facility protection duties	☐ Yes ☐ No
115.162 (a) - 2	In the past 12 months, the number of times the agency or facility determined that a detainee was subject to substantial risk of imminent sexual abuse:	
115.162 (a) - 3	If the agency or facility made such determinations in the past 12 months, the average amount of time (in hours) that passed before taking action: • Upload/select any relevant documentation	
115.162 (a) - 4	The longest amount of time elapsed (in hours or days) before taking action. If not immediate (i.e., without unreasonable delay), please explain in the comments section. • Upload/select any relevant documentation	
§115.163 - Reporting to other confinement facilities		
115.163 (a) - 1	The agency has a policy requiring that, upon receiving an allegation that a detainee was sexually abused while confined at another facility, the head of the facility must notify the head of the facility or appropriate office of the agency or facility where sexual abuse is alleged to have occurred.	☐ Yes ☐ No

	• Upload/select policy on agency reporting to other confinement facilities	
115.163 (a) - 2	In the past 12 months, the number of allegations the facility received that a detainee was abused while confined at another facility:	
115.163 (a) - 3	Please describe your facility's response to these allegations:	
115.163 (b) - 1	Agency policy requires that the facility head provide such notification as soon as possible, but no later than 72 hours after receiving the allegation. • If applicable, select policy on agency reporting to other confinement facilities and indicate relevant page/section	☐ Yes ☐ No
115.163 (c) - 1	The agency or facility documents that it has provided such notification within 72 hours of receiving the allegation. • Upload/select documentation of notifications	☐ Yes ☐ No
115.163 (d) - 1	The agency or facility policy requires that allegations received from other facilities/agencies are investigated in accordance with the PREA standards. • Upload/select policy	☐ Yes ☐ No
115.163 (d) - 2	In the past 12 months, the number of allegations of sexual abuse the facility received from other facilities:	

§115.164 - Staff first responder duties

115.164 (a) - 1	The agency has a first responder policy for allegations of sexual abuse. If "No", skip to 115.164(a)-6. • Upload/select policy on first responder duties	☐ Yes ☐ No
115.164 (a) - 2	The policy requires that, upon learning of an allegation that a detainee was sexually abused, the first law enforcement staff member to respond to the report shall be required to separate the alleged victim and abuser. • If applicable, select policy on first responder duties and indicate relevant page/section	☐ Yes ☐ No
115.164 (a) - 3	The policy requires that, upon learning of an allegation that a detainee was sexually abused, the first law enforcement staff member to respond to the report shall be required to preserve and protect any crime scene until appropriate steps can be taken to collect any evidence. • If applicable, select policy on first responder duties and indicate relevant page/section	☐ Yes ☐ No
115.164 (a) - 4	The policy requires that, upon learning of an allegation that a detainee was sexually abused, that if the abuse occurred within a time period that still allows for the collection of physical evidence, the first law enforcement staff member to respond to the report shall be required to request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. • If applicable, select policy on first responder duties and indicate relevant page/section	☐ Yes ☐ No
115.164 (a) -	The policy requires that, upon learning of an allegation	☐ Yes ☐ No

5	that a detainee was sexually abused, that if the abuse occurred within a time period that still allows for the collection of physical evidence, the first law enforcement staff member to respond to the report shall be required to ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. • If applicable, select policy on first responder duties and indicate relevant page/section	
115.164 (a) - 6	In the past 12 months, the number of allegations that a detainee was sexually abused:	
115.164 (a) - 7	Of these allegations, the number of times the first law enforcement staff member to respond to the report separated the alleged victim and abuser:	
115.164 (a) - 8	In the past 12 months, the number of allegations where staff were notified within a time period that still allowed for the collection of physical evidence:	
115.164 (a) - 9	Of these allegations in the past 12 months where staff were notified within a time period that still allowed for the collection of physical evidence, the number of times the first law enforcement staff member to respond to the report preserved and protected any crime scene until appropriate steps could be taken to collect any evidence:	
115.164 (a) - 10	Of these allegations in the past 12 months where staff were notified within a time period that still allowed for the collection of physical evidence, the number of times the	

	first law enforcement staff member to respond to the report requested that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating:	
115.164 (a) - 11	Of these allegations in the past 12 months where staff were notified within a time period that still allowed for the collection of physical evidence, the number of times the first law enforcement staff member to respond to the report ensured that the alleged abuser not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating:	
115.164 (b) - 1	Agency policy requires that if the first staff responder is not a law enforcement staff member, that responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence. • If applicable, select policy on first responder duties and indicate relevant page/section	☐ Yes ☐ No
115.164 (b) - 2	Agency policy requires that if the first staff responder is not a law enforcement staff member, that responder shall be required to notify law enforcement staff. • If applicable, select policy on first responder duties and indicate relevant page/section	☐ Yes ☐ No
115.164 (b) - 3	Of the allegations that a detainee was sexually abused made in the past 12 months, the number of times a non-law enforcement staff member was the first responder:	

115.164 (b) - 4	Of those allegations responded to first by a non-law enforcement staff member, the number of times that the staff member requested that the alleged victim not take any actions that could destroy physical evidence:	
115.164 (b) - 5	Of those allegations responded to first by a non-law enforcement staff member, the number of times that the staff member notified law enforcement staff:	
§115.165 - Coordinated response		
115.165 (a) - 1	The agency has developed a written institutional plan to coordinate actions taken in response to an incident of sexual abuse among staff first responders, medical and mental health practitioners, investigators, and agency leadership. • Upload/select agency's institutional plan	☐ Yes ☐ No
115.165 (b) - 1	The agency is permitted by law to inform a receiving facility, where a victim is transferred from the lockup to a jail, prison, or medical facility as a result of an allegation of sexual abuse, of the incident and the victim's potential need for medical or social services.	☐ Yes ☐ No
115.165 (b) - 2	If a victim is transferred from the lockup to a jail, prison, or medical facility, the agency informs the receiving facility of the incident and the victim's potential need for medical or social services, unless the victim requests otherwise.	☐ Yes ☐ No
115.165 (b) - 3	In the past 12 months, the number of victims transferred from the lockup to a jail, prison, or medical facility as a result of an allegation of sexual abuse:	

115.165 (b) - 4	In the past 12 months, the number of those victims transferred as a result of an allegation of sexual abuse where the agency informed the receiving facility of the incident and the victim's potential need for medical or social services:	
115.165 (b) - 5	In the past 12 months, the number of those victims transferred as a result of an allegation of sexual abuse who requested the agency not inform the receiving facility:	
§115.166 - Preservation of ability to protect detainees from contact with abusers		
115.166 (a) - 1	The agency, facility, or any other governmental entity responsible for collective bargaining on the agency's behalf has entered into or renewed any collective bargaining agreement or other agreement since August 20, 2012, or since the last PREA audit, whichever is later. • Upload/select all agreements entered into since August 20, 2012 or since the last PREA audit	☐ Yes ☐ No
§115.167 - Agency protection against retaliation		
115.167 (a) - 1	The agency has a policy to protect all detainees and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other detainees or staff. • Upload/select policy protecting detainees and staff against retaliation	☐ Yes ☐ No
115.167 (a) - 2	The agency designates staff member(s) or charges department(s) with monitoring for possible retaliation. If	☐ Yes ☐ No

	YES, provide staff name(s), title(s), and department(s) in the comments section.	
115.167 (c) - 1	The agency/facility monitors the conduct and treatment of detainees or staff who reported sexual abuse and of detainees who were reported to have suffered sexual abuse. If applicable, select policy on protecting detainees against retaliation and indicate relevant page/section	☐ Yes ☐ No
115.167 (c) - 2	The agency/facility acts promptly to remedy any such retaliation. If applicable, select policy on protecting detainees against retaliation and indicate relevant page/section	☐ Yes ☐ No
115.167 (c) - 3	The number of times an incident of retaliation occurred in the past 12 months:	
INVESTIGATIONS		
§115.171 - Criminal and administrative agency investigations		
115.171 (a) - 1	The agency/facility has a policy related to criminal and administrative agency investigations. Upload/select policy related to criminal and administrative agency investigations	☐ Yes ☐ No
115.171 (h) - 1	Substantiated allegations of conduct that appear to be criminal are referred for prosecution.	☐ Yes ☐ No

115.171 (h) - 2	The number of substantiated allegations of conduct that appear to be criminal that were referred for prosecution since August 20, 2012, or since the last PREA audit, whichever is later:	
115.171 (i) - 1	The agency retains all written reports pertaining to the administrative or criminal investigation of alleged sexual abuse or sexual harassment for as long as the alleged abuser is incarcerated or employed by the agency, plus five years. If applicable, select policy on criminal and administrative agency investigations and indicate relevant page/section	☐ Yes ☐ No
§115.172 - Evidentiary standard for administrative investigations		
115.172 (a) - 1	The agency imposes a standard of a preponderance of the evidence or a lower standard of proof when determining whether allegations of sexual abuse or sexual harassment are substantiated. Upload/select policy on standards for administrative investigations	☐ Yes ☐ No
DISCIPLINE		
§115.176 - Disciplinary sanctions for staff		
115.176 (a) - 1	Staff is subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies. Upload/select policy on staff disciplinary sanctions	☐ Yes ☐ No
115.176 (b) -	In the past 12 months, the number of staff from the facility	

1	who have violated agency sexual abuse or sexual harassment policies: • Upload/select sample records of terminations, resignations, or other sanctions for violation of sexual abuse or harassment policy • If applicable, also select policy on staff disciplinary sanctions and indicate relevant page/section	
115.176 (b) - 2	In the past 12 months, the number of those staff from the facility who have been terminated (or resigned prior to termination) for violating agency sexual abuse or sexual harassment policies:	
115.176 (c) - 1	The disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) are commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories. • If applicable, select policy on staff disciplinary sanctions and indicate relevant page/section	☐ Yes ☐ No
115.176 (c) - 2	In the past 12 months, the number of staff from the facility who have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies (other than actually engaging in sexual abuse):	
115.176 (d) - 1	All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, are reported to law enforcement agencies (unless the activity was clearly not criminal) and to any relevant licensing bodies.	☐ Yes ☐ No

	If applicable, select policy on staff disciplinary sanctions and indicate relevant page/section	
115.176 (d) - 2	In the past 12 months, the number of staff from the facility that have been reported to law enforcement or licensing boards following their termination (or resignation prior to termination) for violating agency sexual abuse or sexual harassment policies:	
§115.177 - Corrective action for contractors and volunteers		
115.177 (a) - 1	Agency policy requires that any contractor or volunteer who engages in sexual abuse be reported to law enforcement agencies (unless the activity was clearly not criminal) and to relevant licensing bodies. Upload/select policy on corrective actions for contractors and volunteers	☐ Yes ☐ No
115.177 (a) - 2	Agency policy requires that any contractor or volunteer who engages in sexual abuse be prohibited from contact with detainees. If applicable, select policy on corrective actions for contractors and volunteers and indicate relevant page/section	☐ Yes ☐ No
115.177 (a) - 3	In the past 12 months, contractors or volunteers have been reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of detainees. Upload/select reports of sexual abuse of detainees by contractors or volunteers	☐ Yes ☐ No
115.177 (a) -	In the past 12 months, the number of contractors or	

4	volunteers reported to law enforcement for engaging in sexual abuse of detainees:	
115.177 (b) - 1	The facility takes appropriate remedial measures and considers whether to prohibit further contact with detainees in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer. • Upload/select documentation of remedial measures that have been enforced	☐ Yes ☐ No
§115.178 - Referral for prosecution for detainee-on-detainee sexual abuse		
115.178 (a) - 1	When there is probable cause to believe that a detainee sexually abused another detainee in a lockup, agency policy requires that the matter be referred to the appropriate prosecuting authority. • Upload/select policy on prosecution referral	☐ Yes ☐ No
115.178 (a) - 2	In the past 12 months, the number of allegations where there was probable cause to believe that a detainee sexually abused another detainee in the facility:	☐ Yes ☐ No
115.178 (a) - 3	In the past 12 months, the number of the allegations above that were referred to the appropriate prosecuting authority:	
115.178 (b) - 1	If the agency is not responsible for administrative and criminal investigations of allegations of sexual abuse, the agency informs the investigating agency of this policy. Check N/A if the agency/facility is responsible for conducting administrative and criminal investigations.	☐ Yes ☐ No

	If applicable, select policy on prosecution referral and indicate relevant page/section	
MEDICAL AND MENTAL CARE		
§115.182 - Access to emergency medical and mental health services		
115.182 (a) - 1	Detainee victims of sexual abuse receive timely, unimpeded access to emergency medical treatment. Upload/select sample medical secondary forms/ logs regarding detainees' access to services	☐ Yes ☐ No
115.182 (b) - 1	Treatment services are provided to every victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident. Upload/select policy on medical treatment for sexual abuse	☐ Yes ☐ No
DATA COLLECTION AND REVIEW		
§115.186 - Sexual abuse incident reviews		
115.186 (a) - 1	The facility conducts a sexual abuse incident review at the conclusion of every criminal or administrative sexual abuse investigation, unless the allegation has been determined to be unfounded. - Upload/select: - policy on conducting sexual abuse incident reviews - documentation of sexual abuse incident reviews - sample documentation of completed criminal or administrative investigations of sexual abuse (if incident review documents	☐ Yes ☐ No

	contained therein)	
115.186 (a) - 2	In the past 12 months, the number of criminal and/or administrative investigations of alleged sexual abuse completed at the facility, excluding only “unfounded” incidents:	
115.186 (b) - 1	The facility ordinarily conducts a sexual abuse incident review within 30 days of the conclusion of the criminal or administrative sexual abuse investigation. • If applicable, select documentation of sexual abuse incident reviews and sample documentation of completed criminal or administrative (if incident review documents contained therein) and indicate relevant page(s)/section(s)	☐ Yes ☐ No
115.186 (b) - 2	In the past 12 months, the number of criminal and/or administrative investigations of alleged sexual abuse completed at the facility that were followed by a sexual abuse incident review within 30 days, excluding only “unfounded” incidents:	
115.186 (c) - 1	The sexual abuse incident review team includes upper-level management officials and allows for input from line supervisors and investigators. • If applicable, select policy on sexual abuse incident reviews and indicate relevant page/section	☐ Yes ☐ No
115.186 (d) - 1	The facility prepares a report of its findings from sexual abuse incident reviews including, but not necessarily limited to, determinations made pursuant to paragraphs (d)(1)-(d)(5) of this standard and any recommendations for improvement, and submits such report to the facility head and PREA Coordinator.	☐ Yes ☐ No

	• Upload/select reports of findings from sexual abuse incident reviews • If applicable, select documentation of sexual abuse incident reviews and indicate relevant page/section	
115.186 (e) - 1	The lockup implements the recommendations for improvement or documents its reasons for not doing so. • Upload/select: ◦ documentation supporting implementation of recommendations ◦ documentation of reasons for not implementing recommendations	☐ Yes ☐ No
§115.187 - Data collection		
115.187 (a) - 1	The agency collects accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions. • Upload/select: ◦ policy on sexual abuse data collection ◦ set of definitions ◦ data collection instrument	☐ Yes ☐ No
115.187 (b) - 1	The agency aggregates the incident-based sexual abuse data at least annually.	☐ Yes ☐ No
115.187 (c) - 1	The standardized instrument includes, at a minimum, the data necessary to answer all questions from the most recent version of the Local Jail Jurisdictions Survey of Sexual Violence (SSV) conducted by the Department of Justice, or any subsequent form developed by the Department of Justice and designated for lockups.	☐ Yes ☐ No

	If applicable, select policy on sexual abuse data collection and data collection instrument and indicate relevant page(s)/section(s)	
115.187 (d) - 1	The agency maintains, reviews, and collects data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews. If applicable, select policy on sexual abuse data collection and indicate relevant page/section	☐ Yes ☐ No
115.187 (e) - 1	The agency obtains incident-based and aggregated data from every private facility with which it contracts for the confinement of its detainees. Check N/A if agency does not contract for the confinement of its detainees and skip to 115.187 (f). If applicable, select policy on sexual abuse data collection and indicate relevant page/section	☐ Yes ☐ No ☐ NA
115.187 (e) - 2	The data from private facilities complies with SSV reporting regarding content.	☐ Yes ☐ No
115.187 (f) - 1	The agency provided the Department of Justice (DOJ) with data from the previous calendar year upon request. Check N/A if DOJ has not requested agency data.	☐ Yes ☐ No ☐ NA
§115.188 - Data review for corrective action		
115.188 (a) - 1	The agency reviews data collected and aggregated pursuant to §115.187 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, and training, including:	☐ Yes ☐ No

	• Identifying problem areas; • Taking corrective action on an ongoing basis; and • Preparing an annual report of its findings from its data review and any corrective actions for each lockup, as well as the agency as a whole. • Upload/select: ◦ documentation of corrective action plans ◦ annual report of findings from data reviews/corrective actions	
115.188 (b) - 1	The annual report includes a comparison of the current year's data and corrective actions with those from prior years. • If applicable, select annual report of findings from data reviews/corrective actions and indicate relevant page/section	☐ Yes ☐ No
115.188 (b) - 2	The annual report provides an assessment of the agency's progress in addressing sexual abuse. • If applicable, select annual report of findings from data reviews/corrective actions and indicate relevant page/section	☐ Yes ☐ No
115.188 (c) - 1	The agency makes its annual report readily available to the public at least annually through its website. If "yes," skip to 115.188(c)-3. • Provide link to website where annual report is available	☐ Yes ☐ No
115.188 (c) - 2	If NO, the agency makes it available through other means.	☐ Yes ☐ No
115.188 (c) -	The annual reports are approved by the agency head.	☐ Yes ☐ No

3		
115.188 (d) - 1	When the agency redacts material from an annual report for publication the redactions are limited to specific materials where publication would present a clear and specific threat to the safety and security of the facility. • If applicable, select annual report of findings from data reviews/corrective actions and indicate relevant page/section	☐ Yes ☐ No
115.188 (d) - 2	The agency indicates the nature of material redacted. • If applicable, select annual report of findings from data reviews/corrective actions and indicate relevant page/section	☐ Yes ☐ No
§115.189 - Data storage, publication, and destruction		
115.189 (a) - 1	The agency ensures that incident-based and aggregate data are securely retained. • Upload/select policy on data storage	☐ Yes ☐ No
115.189 (b) - 1	Agency policy requires that aggregated sexual abuse data from lockups under its direct control and private facilities with which it contracts be made readily available to the public, at least annually, through its website. • Upload/select policy on data availability	☐ Yes ☐ No
115.189 (b) - 2	If NO, the agency makes it available through other means.	☐ Yes ☐ No
115.189 (c) -	Before making aggregated sexual abuse data publicly	☐ Yes ☐ No

1	available, the agency removes all personal identifiers.	
115.189 (c) - 2	The agency maintains sexual abuse data collected pursuant to §115.187 for at least 10 years after the date of initial collection, unless federal, state, or local law requires otherwise. • If Federal, State, or local law requires otherwise, upload/select a copy of the applicable law	☐ Yes ☐ No