U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance



FY 2022 Second Chance Act Pay for Success Initiative

Assistance Listing Number # 16.812

Grants.gov Opportunity Number: O-BJA-2022-171034

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Grants.gov Deadline: June 14, 2022 8:59 PM

Application JustGrants Deadline: June 21, 2022 8:59 PM

Overview

The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Bureau of Justice Assistance</u> (BJA) is seeking applications for funding state, local, and tribal governments to enhance or implement performance-based and outcomes-based contracts with reentry, permanent supportive housing, or recovery housing providers to reduce recidivism and address the substance use disorders impacting formerly incarcerated people. This program furthers the Department's mission by reducing recidivism and building more effective service delivery systems that pay for performance and outcomes.

This solicitation incorporates the <u>OJP Grant Application Resource Guide</u> by reference. The OJP Grant Application Resource Guide provides guidance to applicants on how to prepare and submit applications for funding to OJP. **If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the guidelines in this solicitation as to that provision.**

Solicitation Categories

Competition ID	Category *	Number of Awards	Dollar Amount for Award	Performance Start Date	Performance Duration (Months)
C-BJA-2022-00015-PROD	Performance-based and Outcomes-based Contracting for Reentry Services	5	\$1,000,000.00	10/3/22 12:00 AM	54
C-BJA-2022-00016-PROD	Performance-based and Outcomes-based Contracting for Permanent Supportive Housing	1	\$1,000,000.00	10/3/22 12:00 AM	54

Eligible Applicants:

County governments, Native American tribal governments (Federally recognized), Public housing authorities/Indian housing authorities, State governments, Other

Other

To advance Executive Order 13929 Safe Policing for Safe Communities, as of October 28, 2020, the Attorney General determined that all state, local, and university or college law enforcement agencies must be certified by an approved independent credentialing body or have started the certification process to be allocated FY 2021 DOJ discretionary grant funding, as either a recipient or a subrecipient. For detailed information on this new certification requirement, please visit https://cops.usdoj.gov/SafePolicingEO.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

BJA will consider applications under which two or more entities would carry out the federal award; however, only one entity may be the applicant. Any others must be proposed as subrecipients (subgrantees). For additional information on subawards, see the OJP Grant Application Resource Guide.

BJA may elect to fund applications submitted under this FY 2021 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and on the availability of appropriations.

Contact Information

For technical assistance with submitting the **SF-424 and SF-LLL** in Grants.gov, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, at <u>Grants.gov customer support webpage</u>, or email at <u>support@grants.gov</u>. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

For technical assistance with submitting the **full application** in DOJ's Justice Grants System (JustGrants), contact the JustGrants Service Desk at <u>JustGrants.Support@usdoj.gov</u> or at 833-872-5175. The JustGrants Service Desk operates 5 a.m. to 9 p.m. EST Monday - Friday and 9 a.m. to 5 p.m. Saturday, Sunday, and federal holidays.

For assistance with any unforeseen Grants.gov or JustGrants technical issues beyond an applicant's control that prevent it from submitting its application by the deadline, or any other requirement of this solicitation, contact the National Criminal Justice Reference Service (NCJRS) Response Center: toll-free at 800–851–3420; via TTY at 301–240–6310 (hearing impaired only); email grants@ncjrs.gov; fax to 301–240–5830; or web chat at https://webcontact.ncjrs.gov/ncjchat/chat.jsp. The NCJRS Response Center hours of operation are 10:00 a.m. to 6:00 p.m. eastern time, Monday–Friday, and 10:00 a.m. to 8:00 p.m. eastern time on the solicitation close date.

Submission Information

In FY 2021, applications will be submitted to DOJ in a **NEW** two-step process.

<u>Step 1:</u> Applicants will submit an SF-424 and an SF-LLL in Grants.gov at https://www.grants.gov/web/grants/register.html
. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal.

<u>Step 2</u>: Applicants will submit the **full application** including attachments in JustGrants at <u>JustGrants.usdoj.gov</u>. To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline. OJP encourages applicants to review, the "How to Apply" section in the OJP Grant Application Resource Guide.

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Program Description

Overview

OJP is committed to advancing work that promotes civil rights and racial equity, increases access to justice, supports crime victims and individuals impacted by the justice system, strengthens community safety and protects the public from crime and evolving threats, and builds trust between law enforcement and the community. The Pay for Success Initiative is performance-based and outcomes-based programming that ties payment for services to reaching agreed-upon goals. Under this initiative, services that may be purchased include permanent supportive and various types of reentry services that are tailored to individuals leaving incarceration.

Statutory Authority

Any awards under this solicitation will be made under the statutory authority provided by a full-year Department of Justice appropriations act for FY 2022

Specific Information

This solicitation is part of the Second Chance Act (SCA) suite of programs in FY 2022. This year a range of programs for states, local units of government, nonprofit organizations, and tribal governments are being competed, including:

- Community-based Reentry Program
- Improving Reentry Education and Employment Outcomes Program
- Improving Reentry Substance Use Disorder Treatment Outcomes Program
- Pay for Success
- · Swift, Certain, and Fair Supervision and HOPE Institute
- · Smart Reentry and Smart Supervision with Technical Assistance: New Tools to Facilitate Change

Not all entities are eligible for all programs. Applicants are encouraged to review each solicitation in its entirely to determine their eligibility and the goodness of fit for their proposal. Additional details on the SCA suite of programs can be found on the <u>DOJ Grants Program Plan</u>.

The Pay for Success Initiative is performance-based and outcomes-based programming that ties payment for services to reaching agreed-upon goals. Under this initiative, services that may be purchased include permanent supportive housing, as well as other types of reentry services that are tailored to individuals leaving incarceration, particularly those with substance use disorders. The overall goals of the FY 2022 SCA Pay for Success Initiative are to enhance public safety, lower recidivism, and improve the lives of individuals leaving the criminal justice system.

Category 1 awards focus on:

- Enhancing already established performance-based and outcomes-based contracts to provide individualized reentry services for people leaving incarceration who are identified through a validated risk tool as being at moderate to high risk to reoffend in the community.
- Managing the performance-based or outcomes-based reentry services contract, including data and report collection, regular performance and outcome reviews between governments and service providers, on- and offsite monitoring, outcomes validation, and incentive payment approvals.
- Ensuring government staff and service providers have adequate training on performance-based or outcomes-based procurement, reentry services, data collection, and outcomes validation.

Category 2 awards focus on:

- Entering into performance-based or outcomes-based contracts to provide evidence-based, high-quality permanent supportive housing (PSH) to formerly incarcerated individuals who have a substance use disorder.
- Managing the performance-based or outcomes-based PSH contract, including data and report collection, regular
 performance and outcome reviews between governments and service providers, on- and offsite monitoring,
 performance and outcomes validation, and incentive payment approvals.
- Ensuring government staff and service providers have adequate training on performance-based or outcomes-based procurement, PSH, data collection, and outcomes validation.

Goals, Objectives, Deliverables, and Timeline

Goals

The overall goals of the FY 2022 SCA Pay for Success Initiative are to enhance public safety, lower recidivism, and improve the lives of those individuals leaving the criminal justice system. The goals for each category are to increase access to programming for and reduce recidivism in the target reentry population.

Objectives

- Enhance already established performance-based and outcomes-based contracts to provide individualized reentry services or PSH for people leaving incarceration who are identified through a validated risk tool as being at moderate to high risk to reoffend in the community.
- Manage the performance-based or outcomes-based reentry service or PSH contract, including data and report
 collection, regular performance and outcome reviews between the governments and service provider, on- and offsite
 monitoring, outcomes validation, and incentive payment approvals.
- Ensure government staff and service providers have adequate training on performance-based or outcomes-based procurement, reentry services, PSH, data collection, and outcomes validation.

Deliverables

- Analysis that demonstrates reentry service or PSH need using data indicating the number and needs of people to be served. To ensure the intended size and target population are identified, the grantee will provide a description of the mechanisms to identify the population along with a description of the contracted services.
- Analysis that demonstrates the performance targets or outcomes selected for payment. Contracts must include recidivism performance targets or outcomes (rearrest, reconviction, reincarceration, revocation) and can also include time to reoffense and reduction in severity of offense.
- Analysis that demonstrates the grantee's decision about the performance-based or outcomes-based contract structure (e.g., phased approach over the period of the contract, inclusion of a hold-harmless period, etc.), repayment strategy (e.g., unit of outcome achieved, weighted incentives, percent change in outcomes, tiered payment scheduled, bonus payments), and timing of payments.
- · Revised contract term report.
- Closeout report, including a listing and description of the performance targets or outcomes achieved and payments made.

The Goals, Objectives, and Deliverables are directly related to the performance measures that demonstrate the results of the work completed, as discussed under Application and Submission Information.

Evidence-Based Programs or Practices

OJP strongly emphasizes the use of data and evidence in policymaking and program development in criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the OJP Grant Application Resource Guide.

Information Regarding Potential Evaluation of Programs and Activities

OJP may conduct or support an evaluation of the programs and activities funded under this solicitation. For additional information, see the OJP Grant Application Resource Guide section entitled "Information Regarding Potential Evaluation of Programs and Activities."

OJP Priority Areas

The Department of Justice is committed to advancing work that promotes civil rights and racial equity, increases access to justice, supports crime victims and individuals impacted by the justice system, strengthens community safety and protects the public from crime and evolving threats, and builds trust between law enforcement and the community.

1. Priority consideration will be given to applicants supporting Executive Order 13985, Advancing Racial Equity and Support for Underserved Communities Through the Federal Government.

Consistent with this Executive Order, the term "underserved community" refers to a population sharing a particular characteristic, as well as a geographic community, that has been systematically denied a full opportunity to participate in

aspects of economic, social, and civic life or whose members have been historically underserved, marginalized, and adversely affected by inequality. Such communities include, among others, Black people, Hispanics and Latino/a/e people, Native American and other Indigenous peoples of North America (including Alaska Natives, Eskimos, and Aleuts), Asian Americans. Native Hawaiians, and Pacific Islanders.

In support of Executive Order 13985, OJP will:

A. Give priority consideration to applications that include project(s) that will promote racial equity and the removal of barriers to access and opportunity for communities that have been historically underserved, marginalized, and adversely affected by inequality, when making award decisions.

To receive this consideration, the applicant must describe how the proposed project(s) will address potential inequities and barriers to equal opportunity, and/or contribute to greater access to services for underserved and historically marginalized populations.

B. Give priority consideration to applicants that can demonstrate that their capabilities and competencies for implementing their proposed project(s) are enhanced because the applicant (or at least one proposed subrecipient that will receive at least 30 percent of the requested award funding, as demonstrated in the Budget Worksheet and Budget Narrative) identifies as a culturally specific organization. To receive this additional priority consideration, applicants must describe how being a culturally specific organization (or funding the culturally specific subrecipient organization(s)) will enhance their ability to implement the proposed project(s) and should also specify which culturally specific populations are intended or expected to be served or to have their needs addressed under the proposed project(s).

Culturally specific organizations are defined for purposes of this solicitation as private nonprofit or tribal organizations whose primary purpose as a whole is to provide culturally specific services to, among others, Black people, Hispanics and Latino/a/e people, Native American and other Indigenous peoples of North America (including Alaska Natives, Eskimos, and Aleuts), Asian Americans, Native Hawaiians, and/or Pacific Islanders.

Note: Addressing these priority areas is one of many factors that OJP considers in making funding decisions. Receiving priority consideration for one or more priority areas is not a guarantee of an award.

Federal Award Information

Solicitation Categories

Competition ID	Category *	Number of Awards	Dollar Amount for Award	Performance Start Date	Performance Duration (Months)
C-BJA-2022-00015-PROD	Performance-based and Outcomes-based Contracting for Reentry Services	5	\$1,000,000.00	10/3/22 12:00 AM	54
C-BJA-2022-00016-PROD	Performance-based and Outcomes-based Contracting for Permanent Supportive Housing	1	\$1,000,000.00	10/3/22 12:00 AM	54

Awards, Amounts and Durations

Period of Performance Start Date 10/3/22 12:00 AM

Anticipated Total Amount to be Awarded Under Solicitation \$6,000,000.00

Continuation Funding Intent

Period of Performance Duration (Months) 54

BJA may, in certain cases, provide additional funding in future years to awards made under this solicitation, through continuation awards. OJP will consider, among other factors, OJP's strategic priorities, a recipient's overall management of the award, and progress of award funded work, when making continuation award decisions.

Availability of Funds

This solicitation, and awards (if any are made) under this solicitation, are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by the agency or by law. In addition, nothing in this solicitation is intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

Types of Awards

BJA expects to make awards under this solicitation as grants. See the "Administrative, National Policy, and Other Legal Requirements" section of the OJP Grant Application Resource Guide for a brief discussion of important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants (and cooperative agreements).

Financial Management and System of Internal Controls

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. The "Part 200 Uniform Requirements" means the DOJ regulation at 2 C.F.R. Part 2800, which adopts (with certain modifications) the provisions of 2 C.F.R. Part 200. See OJP Grant Application Resource Guide for additional information.

Budget Information

The funding during the initial period (no longer than 12 months from the date of final budget approval) prior to service contract finalization should include only costs to support contract initialization and support planning activities followed by 36 months of implementation activities. Implementation may begin after BJA reviews and approves the contract and services begin. The final 6 months will be used to validate the performance targets and outcomes and develop and submit the final report.

Up to \$200,000 of each award may be used to research, price, write, negotiate, and finalize the service contracts, and the rest must be used to fund either performance-based or outcomes-based contracted services.

In addition to the unallowable costs identified in the <u>DOJ Grants Financial Guide</u>, award funds may not be used for the following:

- Prizes, rewards, entertainment, trinkets, or any type of monetary incentive
- · Client stipends
- Gift cards
- Vehicles
- · Food and beverage

For questions pertaining to budget and examples of allowable and unallowable costs, see the DOJ Grants Financial Guide.

Cost Sharing or Matching Requirement

This solicitation does not require a match.

Pre-agreement Costs (also known as Pre-award Costs)

See the OJP Grant Application Resource Guide information on Pre-agreement Costs (also known as Pre-award Costs).

Limitation on Use of Award Funds for Employee Compensation: Waiver

See the OJP Grant Application Resource Guide information on Limitation on Use of Award Funds for Employee

Compensation; Waiver.

Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

See the OJP Grant Application Resource Guide for information on Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs.

Costs Associated with Language Assistance (if applicable)

See the OJP Grant Application Resource Guide for information on Costs Associated with Language Assistance.

Eligibility Information

For eligibility information, see the solicitation cover page.

Application and Submission Information

The following application elements MUST be included in the application submission for an application to meet the basic minimum requirements (BMR) to advance to peer review and receive consideration for funding:

- · Proposal Abstract,
- Proposal Narrative,
- Budget Worksheet and Budget Narrative (web-based form),
- · Mandatory chief executive assurance to collect and report recidivism indicator data

See the "Application Elements and Formatting Instructions" section of the <u>OJP Grant Application Resource Guide</u> for information on what happens to an application that does not contain all the specified elements or that is nonresponsive to the scope of the solicitation.

Information to Complete the Application for Federal Assistance (SF-424)

The SF-424 will be submitted in Grants gov. The SF-424 is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. See the OJP Grant Application Resource Guide for additional information on completing the SF-424.

In Section F of the SF-424, please include the name and contact information of the individual who will complete application materials in JustGrants. JustGrants will use this information (email address) to assign the application to this user in JustGrants.

Intergovernmental Review: This solicitation ("funding opportunity") **is not** subject to <u>Executive Order 12372</u>. (In completing the SF-424, an applicant is to answer question 19 by selecting the response that the "Program is not covered by E.O. 12372.")

Standard Applicant Information (JustGrants 424 and General Agency Information)

The Standard Applicant Information section of the JustGrants application is pre-populated with the SF-424 data submitted in Grants.gov. Applicants will need to review the Standard Applicant Information in JustGrants and make edits as needed. Within this section, applicants will need to: add zip codes for areas affected by the project; confirm their Authorized Representative; and verify the organization's legal name and address.

Proposal Abstract

A proposal abstract (no more than 400 words) summarizing the proposed project, including primary activities, products and deliverables, the service area, and who will benefit from the proposed project will be completed in the JustGrants webbased form. Proposal abstracts should be:

- Written for a general public audience.
- Submitted as a separate attachment with "Proposal Abstract" as part of its file name. Single-spaced, using a standard 12-point Times New Roman font with 1-inch margins.

If the applicant is seeking priority consideration, applicant must provide the following information:

- Which priority consideration is being sought: 1(A), 1(B), or both.
- What page(s) in the proposal narrative provide documentation of the applicant's plan to respond to the priority consideration.
- For applicants seeking priority consideration 1(B), who is the proposed subawardee to receive the funding and the amount of funds proposed.

Proposal Narrative

The proposal narrative should be submitted as an attachment in JustGrants. The attached document should be double-spaced, using a standard 12-point Times New Roman font; have no less than 1-inch margins; and should not exceed 20 pages. Pages should be numbered and submitted as an attachment. If the proposal narrative fails to comply with these length restrictions, BJA may negatively consider such noncompliance in peer review and in final award decision.

The following sections must be included as part of the proposal narrative:

- a. Description of the Issue
 - Describe the current service delivery method.
 - Describe any efforts conducted by the applicant jurisdiction in the past 5 years to enter into performance-based or outcomes-based contracts and the results of those efforts.
 - Provide an overview of the jurisdiction's current service provision capacity in the relevant category (Category 1, reentry services, or Category 2, permanent supportive housing). Include whether those services are provided by the applicant agency, via contract, or through governmental or nongovernmental partners. Describe how demand for such services is being met compared to the need and how the jurisdiction assesses that need.
- a. Project Design and Implementation
 - Identify the objectives and deliverables described in the Program Description section.
 - Address the data-sharing agreements that need to be in place to inform the contract and assess performance targets or outcome attainment. Discuss which entities have the data needed, any history of sharing information with these entities, as well as any perceived challenges with obtaining data on an ongoing basis.
 - Identify the number of the target population to be reached, explain the methodology for determining the target population number, and document the reasonable expectation to achieve said target population number including sustainability plans.
 - Include a timeline/project plan that generally reflects a 12-month planning and contracting period, 36-month implementation period, and a 6-month post-implementation period; the major tasks and deliverables of the proposed project; and who is responsible for each activity, including the procurement functions. Successful applicants will be expected to have staffing and other needed supports in place by the end of the planning period so they can begin addressing the primary project activities at the start of the implementation period.
 - In addition to the attachments such as letters from the lead agency executive, the responsible government procurement agency executive, and other key partners (if applicable), provide a written demonstration of the jurisdiction's commitment to making a shift to performance-based or outcomesbased contracts.

If the applicant is seeking priority consideration for Priority 1(A), it should address in this section how the proposed project (s) will promote racial equity and/or the removal of barriers to access and opportunity, and/or contribute to greater access to services, for communities that have been historically underserved, marginalized, and adversely affected by inequality.

a. Capabilities and Competencies

- Provide a detailed description of the capacity of the organization and key personnel to deliver the required services and perform the key tasks described in the Program Description section.
- Describe how the proposed management structure and staffing of the project will facilitate the delivery of the required services. The management and organizational structure described should match the staffing needs necessary to accomplish the tasks outlined in the timeline/project plan. Information regarding the personnel assigned to these tasks and whether their résumés and role descriptions are included will contribute to the assignment of points relative to this criterion.

If the applicant is seeking priority consideration under Priority 1(B), it should describe within this section how being a culturally specific organization (or funding a culturally specific subrecipient organization at a minimum of 30 percent of the project budget) will enhance its ability to implement the proposed project(s) and should also specify which culturally specific populations are intended or expected to be served or have their needs addressed under the proposed project(s).

- a. Plan for Collecting the Data Required for this Solicitation's Performance Measures
 - Describe the manner in which the data required for this solicitation's performance measures will be collected, including the system(s) used and the person(s) responsible. Describe whether and how other relevant performance metrics will be documented, monitored, and evaluated.

Note: Applicants are **not** required to submit performance data with the application. Rather, performance measure information is included as an alert that successful applicants will be required to submit performance data as part of each award's reporting requirements.

OJP will require each successful applicant to submit regular performance data that demonstrate the results of the work carried out under the award. The performance data directly relate to the goals, objectives, and deliverables identified in the "Goals, Objectives, and Deliverables" discussion. Applicants can visit OJP's performance measurement page at www.ojp.gov/performance for an overview of performance measurement activities at OJP.

A list of performance measure questions for this program can be found at https://bja.ojp.gov/sites/g/files/xyckuh186/files/media/document/SCA-Pay-for-Success-Measures.pdf.

BJA will require award recipients to submit performance measure data and separately submit performance reports in JustGrants. BJA will provide further guidance on the post-award submission process, if selected for award.

Note on Project Evaluations

An applicant that proposes to use award funds through this solicitation to conduct project evaluations must follow the guidance under the "Note on Project Evaluations" section in the OJP Grant Application Resource Guide.

Goals, Objectives, Deliverables, and Timeline

Applicants will submit the Second Chance Act Pay for Success Initiative's goals, objectives, deliverables, and timelines in the JustGrants Web-based form. See the OJP Grant Application Resource Guide for additional information.

Budget and Associated Documentation

Submit a budget that is complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities).

Budget narratives should demonstrate generally how the applicant will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the objectives of the project

Budget Worksheet and Budget Narrative (Web-based Form)

The applicant will complete the JustGrants web-based budget form. See the <u>OJP Grant Application Resource Guide for</u> additional information.

If the applicant is seeking priority consideration under Priority 1(B) based on the identification of at least one proposed subrecipient as a culturally specific organization, the proposed funding for the subrecipient in the web-based budget form must be a minimum of 30 percent of award funding.

The budget narrative must also describe how the activities that will be funded with the (minimum) 30 percent of award funding provided to the subrecipient **specifically relate to the priority consideration requested under Priority 1(B)** and described in the Capabilities and Competencies section of the application.

Indirect Cost Rate Agreement (if applicable)

The applicant will submit its indirect cost rate agreement by uploading it as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information.

Financial Management Questionnaire (including applicant disclosure of high-risk status)

The applicant will download the questionnaire, complete it, and submit it by uploading it as an attachment in JustGrants. See the OJP Grant Application Resource Guide for the link to the questionnaire and additional information.

Disclosure of Process Related to Executive Compensation

If applicable, the applicant will submit a description of the process used to determine executive compensation by uploading the document as an attachment in JustGrants. See the "Application Attachments" section of the OJP Grant Application Resource Guide for information.

Additional Application Components

Applicants will attach the additional requested documentation in JustGrants.

Tribal Authorizing Resolution

An application in response to this solicitation may require inclusion of tribal authorizing documentation as an attachment. If applicable, the applicant will submit the tribal authorizing documentation by uploading it as an attachment in JustGrants. See the OJP Grant Application Resource Guide for information on tribal authorizing resolutions.

Letters of Support

Include:

Letters of support from all other key partners (if applicable) detailing the commitment to work with the applicant to promote the mission of the project.

Letter from lead agency executive demonstrating agency commitment to the project. Note that the executive must also sign the assurance in Appendix A that aggregate recidivism indicator data will be submitted as required.

Mandatory Lead Agency Executive Assurance to collect and report recidivism indicator data (Appendix A).

Letter from responsible government procurement agency executive demonstrating commitment to the project.

Research and Evaluation Independence and Integrity Statement

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. The applicant will submit documentation of its research and evaluation independence and integrity by uploading it as an attachment in JustGrants. For additional information, see the OJP Grant Application Resource Guide.

Applicant Disclosure of Proposed Subrecipients

Attach a list of proposed subrecipients of grant funding, if applicable, that includes the name, organizational affiliation, and location of the proposed subrecipient entity. (See Appendix B.)

Timeline/Project Plan

Include a timeline/project plan outlining key tasks, benchmarks, and persons or entities responsible.

Position Descriptions for Key Roles and Résumés or Curricula Vita (CVs)

Applicants will submit a document outlining the position descriptions for the proposed project, not the person's role within the applicant organization. It will describe their critical competencies and expectations for the project. Applicants will submit résumés or CVs for key personnel. Applicants should combine position descriptions and résumés or CVs into a single document. Applicants will submit this document by uploading the document as an attachment in JustGrants.

Disclosures and Assurances

The applicant will address the following disclosures and assurances.

Disclosure of Lobbying Activities

Complete and submit the SF-LLL in Grants.gov. See the OJP Grant Application Resource Guide for additional information.

DOJ Certified Standard Assurances

Review and accept the DOJ Certified Standard Assurances in JustGrants. See the OJP Grant Application Resource Guide

Applicant Disclosure of Duplication in Cost Items

Complete the JustGrants web-based Applicant Disclosure of Duplication in Cost Items form. See the OJP Grant Application Resource Guide for additional information.

DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements

Review and accept the DOJ Certified Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; Drug-Free Workplace Requirements; and Law Enforcement and Community Policing in JustGrants. See the OJP Grant Application Resource Guide.

Applicant Disclosure and Justification - DOJ High Risk Grantees (if applicable)

If applicable, submit the DOJ High Risk Disclosure and Justification as an attachment in JustGrants. A DOJ High Risk Grantee is an award recipient that has received a DOJ High Risk designation based on a documented history of unsatisfactory performance, financial instability, management system or other internal control deficiencies, or noncompliance with award terms and conditions on prior awards, or is otherwise not responsible. See the OJP Grant Application Resource Guide for additional information.

How to Apply

Applicants will submit an **SF-424** and an **SF-LLL** in Grants.gov at https://www.grants.gov/web/grants/register.html. Applicants will submit the **full application** including attachments in JustGrants at JustGrants.usdoj.gov. For additional information, see the "How to Apply" section in the OJP Grant Application Resource Guide and the DOJ Application Submission Checklist.

Submission Dates and Time

The **SF-424** and the **SF-LLL** will be submitted in Grants.gov by June 14, 2022 8;59 pm EST. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

The **full application** will be submitted in JustGrants on June 21, 2022 8:59 pm EST.

OJP urges applicants to submit their Grants.gov and JustGrants submissions prior to the due dates to allow sufficient time to correct errors and resubmit by the submission deadlines if a rejection notification is received. To be considered timely, the **full application** must be submitted in JustGrants by the JustGrants application deadline.

Experiencing Unforeseen Technical Issues

An applicant that experiences unforeseen SAM.gov, Grants.gov, or JustGrants technical issues beyond its control that prevents application submission by the deadline must demonstrate all efforts in requesting technical support in order to submit an application by the deadline. Technical support is available via phone and email to the applicable SAM.gov, Grants.gov, or JustGrants support centers or service desks in which an applicant received a ticket number for resolution. If an applicant misses a deadline due to unforeseen technical difficulties, the applicant may request a waiver to submit an application after the deadline. Note: If an applicant does not submit all the required Grants.gov forms by the Grants.gov deadline, the applicant will not be able to proceed to the JustGrants portion of the application process.

An applicant experiencing technical difficulties with the following systems must contact the associated support desk indicated below to report the technical issue and receive a tracking number:

- Grants.gov Contact the <u>Grants.gov Customer Support Hotline.</u>
- SAM.gov Contact the SAM Help Desk (Federal Service Desk).
- JustGrants Contact the JustGrants Support Desk at <u>JustGrants.Support@usdoj.gov</u> or 833-872-5175.

An applicant requesting a waiver to submit a late application must document their request for technical assistance in an email to the OJP Response Center at grants@ncjrs.gov within 24 hours after the application deadline to request approval to submit their application after the deadline. If an applicant has technical issues with Grants.gov, the applicant must contact the Grants.gov Customer Support Hotline within 24 hours of the Grants.gov deadline to request approval to submit after the deadline. However, waiver requests will not be reviewed until after the JustGrants deadline to allow time for all waivers to be submitted. Waiver requests to submit after the submission deadline must:

- Describe the technical difficulties experienced.
- Include a timeline of the applicant's submission efforts (e.g., what date and time did the error occur, what date and time was action taken to resolve the issue and resubmit; and what date and time did support representatives respond).
- Include an attachment(s) of the complete grant application and all required documentation and material.
- Include the applicant's DUNS number (or Unique Entity Identifier if applying after April 4, 2022), any applicable SAM.gov tracking number(s), Grants.gov Help Desk, and JustGrants Support Desk Ticket Numbers.

OJP will review each request for late submission and required supporting documentation and notify the applicant whether the request has been approved or denied. For more details on the waiver process, OJP encourages applicants to review the "Experiencing Unforeseen Technical Issues" section in the OJP Grant Application Resource Guide.

Application Review Information Review Criteria

a. Merit Review Criteria

Applications that meet basic minimum requirements will be evaluated by peer reviewers on how the proposed project/program addresses the following criteria:

- Statement of the Problem (15 percent) Evaluate the applicant's understanding of the program/issue to be addressed.
- Project Design and Implementation (30 percent) Evaluate the adequacy of the proposal, including the goals, objectives, timelines, milestones, and deliverables.
- 3. Capabilities and Competencies (20 percent) Evaluate administrative and technical capacity of the applicant to successfully accomplish the goals and objectives.
- 4. Plan for Collecting the Data Required for this Solicitation's Performance Measures (10 percent) Evaluate the applicant's understanding of the performance data reporting requirements and the plan for collecting the required data.
- 5. Budget (10 percent) Evaluate for completeness, cost effectiveness, and allowability (e.g., reasonable, allocable, and necessary for project activities).

6. Impact/Outcomes, Sustainability (15 percent) — Evaluate the applicant's ability to validate performance targets or outcomes, integration or commitments, and long-term results for program.

b. Other Review Criteria/Factors

Other important considerations for BJA include geographic diversity, available funding, past performance, and the extent to which the Budget Worksheet and Budget Narrative (web-based form) accurately explain project costs that are reasonable, necessary, and otherwise allowable under federal law and applicable federal cost principles.

Review Process

Applications submitted under this solicitation that meet basic minimum requirements will be evaluated for technical merit by a peer review panel(s) in accordance with OJP peer review policy and procedures using the review criteria listed above.

OJP screens applications to ensure they meet the basic minimum requirements prior to conducting the peer review. Although specific requirements may vary, the following are common requirements applicable to all OJP solicitations:

- The application must be submitted by an eligible type of applicant.
- The application must request funding within programmatic funding constraints (if applicable).
- The application must be responsive to the scope of the solicitation.
- The application must include all items necessary to meet the basic minimum requirements.

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant with one or more prior federal awards has a satisfactory record with respect to performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, FAPIIS).

Important note on FAPIIS: An applicant may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider any such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may consider not only peer review ratings and BJA recommendations, but also other factors as indicated in this section.

Federal Award Administration Information

Federal Award Notices

See the OJP Grant Application Resource Guide for information on award notifications and instructions.

Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions, and all applicable requirements of federal statutes and regulations (including applicable requirements referred to in the assurances and certifications executed in connection with award acceptance).

For additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the OJP Grant Application Resource Guide.

Information Technology (IT) Security Clauses

An application in response to this solicitation may require inclusion of information related to information technology security. See the OJP Grant Application Resource Guide for information on information technology security.

General Information about Post-Federal Award Reporting Requirements

In addition to the deliverables described in the Program Description section, any recipient of an award under this solicitation will be required to submit certain reports and data.

Required reports. Recipients typically must submit quarterly financial reports, progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

See the OJP Grant Application Resource Guide for additional information on specific post-award reporting requirements, including performance measures data.

Federal Awarding Agency Contact(s)

For OJP contact(s), see solicitation cover page.

For contact information for Grants.gov, see the solicitation cover page.

For contact information on JustGrants, see the solicitation cover page.

Other Information

Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)

See the OJP Grant Application Resource Guide for information on Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a).

Provide Feedback to OJP

See the OJP Grant Application Resource Guide for information on how to provide feedback to OJP.

Application Checklist

FY 2022 Second Chance Act Pay for Success Initiative

This application checklist has been created as an aid in developing an application. The <u>DOJ Application Submission</u> Checklist is another resource.

What an Applicant Must Do:

Prior to registering in Grants.gov:

- Confirm your Entity's <u>System Award Management (SAM) Registration Information</u> (see <u>OJP Grant Application Resource Guide</u>)
- Acquire a SAM Unique Entity Identifier (UEI):
 - If applying before April 4, 2022, obtain or confirm your Data Universal Number System (DUNS) number at <u>www.dnb.com</u>.
 - On April 4, 2022, the federal government will stop using DUNS and start using the new SAM UEI.

To register in Grants.gov:

- Acquire an AOR and a Grants.gov username and password (see OJP Grant Application Resource Guide) Acquire
- AOR confirmation from the E-Business Point of Contact (E-Biz POC) (see OJP Grant Application Resource Guide)

To find the funding opportunity:

- Search for the funding opportunity in Grants gov using the opportunity number, Assistance Listing or keyword(s) Select the correct Competition ID
- Access the funding opportunity and application package (see Step 7 in OJP Grant Application Resource Guide)
 Sign up for Grants.gov email notifications (optional) (see OJP Grant Application Resource Guide)
 Read Important Notice: Applying for Grants in Grants.gov

- Read OJP policy and guidance on conference approval, planning, and reporting available at ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm (see OJP Grant Application Resource Guide)

Overview of Post-Award Legal Requirements:

 Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2022 Awards" in the OJP Funding Resource Center.

Scope Requirement:

• The federal amount requested is within the allowable limit(s) of \$1,000,000.

Eligibility Requirement:

- · County governments
- Native American tribal governments (federally recognized)
- · Public housing authorities/Indian housing authorities
- State governments
- · City or township governments

Prepare to submit the Application for Federal Assistance standard form (SF)-424 and Disclosure of Lobbying Activities form (SF-LLL)

- Review Information to complete the Application for Federal Assistance (SF-424) in Grants.gov
 Complete Standard Applicant Information (SF-424 information from Grants.gov)
 Submit the SF-424 and SF-LLL in Grants.gov

After the SF-424 and SF-LLL submission in Grants.gov, receive Grants.gov email notifications that:

- Submission has been received in Grants.gov
- Submission has either been successfully validated or rejected with errors (see OJP Grant Application Resource Guide)

If no Grants.gov receipt and validation, or error notifications are received:

Contact BJA or Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, at Grants.gov customer support webpage, or email at support@grants.gov regarding technical difficulties (see OJP Grant Application Resource Guide)

Receive email notification to complete application in JustGrants

Proceed to complete application in JustGrants

Content of Application Submission:

The following items are critical application elements required to pass Basic Minimum Requirements review. An application that OJP determines does not include the application elements that must be included in the application submission in order for the application to meet the basic minimum requirements, will neither proceed to peer review, nor receive further consideration.

- Proposal Abstract
- Proposal Narrative

- Budget Worksheet and Budget Narrative (web-based form)
- Letter from Lead Agency Executive (if applicable)
- Letter from Responsible Government Procurement Agency Executive
- Disclosure of Proposed Subrecipients
- Timeline/Project Plan
- · Résumés or Curricula Vita for Key Personnel

Budget and Associated Documentation:

- Indirect Cost Rate Agreement (if applicable) (see OJP Grant Application Resource Guide)
- Financial Management and System of Internal Controls Questionnaire

(see OJP Grant Application Resource Guide)

Disclosure of Process related to Executive Compensation (see OJP Grant Application Resource Guide)

Additional Application Components:

- Tribal Authorizing Resolution (if applicable) (see OJP Grant Application Resource Guide) Research and
- Evaluation Independence and Integrity (see OJP Grant Application Resource Guide)
- Request and Justification for Employee Compensation; Waiver (if applicable)

(see OJP Grant Application Resource Guide)

Disclosures and Assurances:

- Disclosure of Lobbying Activities (SF-LLL) (see OJP Grant Application Resource Guide)
- Applicant Disclosure of Duplication in Cost Items (see OJP Grant Application Resource Guide)
- DOJ Certified Standard Assurances (see OJP Grant Application Resource Guide)
- DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements (see OJP Grant Application Resource Guide)
- Applicant Disclosure and Justification DOJ High Risk Grantees (if applicable) (see OJP Grant Application Resource Guide)

Submit application in JustGrants:

· Application has been successfully submitted in JustGrants

If no JustGrants application submission, validation, or error notifications are received:

Contact the JustGrants Service Desk at 833-872-5175 or <u>JustGrants.Support@usdoj.gov</u> regarding technical difficulties

Appendix A: Mandatory Chief Executive Assurance to Collect and Report Recidivism Indicator Data

I hereby assure that, if awarded grant funds under the Second Chance Act Pay for Success Initiative, my organization will collect unique identifiers and recidivism indicator performance data for each program participant, and will aggregate all such data and submit it via the Bureau of Justice Assistance Performance Measurement Tool as required upon grant closeout. I understand that the inability or refusal to submit such data after an award is made may impact my organization's ability to receive future Bureau of Justice Assistance competitive grant funding.			
Signature			
Name			
Title			
Date			
Date			

Appendix B: Applicant Documentation of Proposed Subrecipients

Complete the table below with information regarding proposed subrecipients of funding, including the name, organizational affiliation, and city and state of the proposed subrecipient entity. Add rows if needed. For additional information on subawards, see the OJP Grant Application Resource Guide. Applicants will submit the table by uploading the document as an attachment in JustGrants.

Subrecipient Name	Subrecipient Organization	Subrecipient Location (City, State)
(Last, First)		