

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

The following pages outline general questions and performance measures for the Bureau of Justice Assistance (BJA) Tribal Courts Assistance Program/Indian Alcohol and Substance Abuse Prevention Program (TCAP/IASAP) and Strategic Planning grants. The performance measures for these programs were established to indicate how grant activities meet the following objectives:

1. To develop, enhance, and continue Tribal justice systems, including alcohol and substance abuse prevention, law enforcement, pretrial services, and development of risk and needs assessments;
2. To implement enhanced authorities and provisions under the Tribal Law and Order Act;
3. To implement and enhance culturally appropriate Tribal specialty courts (e.g., Healing-to-Wellness courts); and
4. To engage in comprehensive strategic planning to improve Tribal justice and community wellness and safety.

The performance measures for the **TCAP/IASAP programs** are structured in two formats—quantitative (numeric) measures and qualitative (narrative) measures. You will complete the quantitative measures in the BJA Performance Measurement Tool (PMT) 4 times per year to report on your activity during the prior 3 months, also known as a reporting period. You will be asked to complete qualitative measures twice each year. These consist of nine narrative questions that you will answer based on activities during the previous two reporting periods.

This information is used to show the impact and value of this important program.

In January and July of each year, you will be responsible for creating a report from the PMT that you upload into the Grants Management System (GMS). This is the GMS report. During the nonsubmission reporting periods, you are encouraged to create a report for your records, but you will not upload this to the GMS.

If you have questions about your program, please contact your State Policy Advisor (SPA) at <https://www.bja.gov/About/Contacts/ProgramsOffice.html>.

Your responses to the questions that follow must be entered in the PMT at <https://bjapmt.ojp.gov>. If you have any questions about the PMT or performance measures, please call the BJA Performance Tool Help Desk at 1-888-252-6867, or send an e-mail to bjapmt@usdoj.gov.

NOTE: Data collection on the following measures took effect with grant activities that began as of **January 1, 2013. Specifically, data entry and reporting in the PMT began on **April 1, 2013**. Subsequent data entry has been performed quarterly or every 3 months, with a 30-day submission period following the close of the reporting period.**

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

AWARD ADMINISTRATION

Is this the last time the grant is reporting in the PMT before closing out the award? If yes, you must complete the Narrative section.

- A. Yes
- B. No

I. GENERAL AWARD INFORMATION

These first questions ask if the grantee conducted activities during the quarter and if so, under which program activity type(s). The answers to these questions will determine which questions you will answer in other sections in the survey.

1. Was there grant activity in the reporting period? *Grant activity is defined as any activity proposed in the BJA-approved grant application that is implemented or executed with BJA grant funds.*
 - A. Yes
 - B. No
 - C. If no, please explain _____

2. Please select which of the following activities you proposed in your application. Please select ALL categories for which you did perform grant activities during the grant period. *This question will help to determine which performance measure questions you will be prompted to answer. You will only answer questions for the program activities that you select. If you choose training, personnel, or purchasing equipment, you will be required to select at least one more program activity. These would be the program activities under which the newly hired personnel are performing activities.*

	Program Activities	Amount Allocated from Grant
<input type="checkbox"/>	Training: Offer professional training for program staff, judges, and law enforcement.	\$ _____
<input type="checkbox"/>	Personnel: Hire and/or retain court, probation, law enforcement, or other positions.	\$ _____
<input type="checkbox"/>	Purchasing Equipment: Purchase equipment or supplies. This includes technology investments such as information management systems and IT services.	\$ _____
<input type="checkbox"/>	Strategic Planning: Develop a strategic plan.	\$ _____
<input type="checkbox"/>	Community Alcohol and Substance Abuse Prevention/Education: Identify, apprehend, and prosecute individuals who illegally transport, distribute, and abuse illegal substances in Tribal communities.	\$ _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

	Program Activities	Amount Allocated from Grant
<input type="checkbox"/>	Law Enforcement and Adjudication: Identify, apprehend, and prosecute individuals who illegally transport, distribute, and abuse illegal substances in Tribal communities.	\$ _____
<input type="checkbox"/>	Development and Enhancement of Tribal Justice Systems and Courts, Including Sentencing Diversion Programs and Alternatives to Incarceration: Enhance or expand Tribal Court capacity through new programs; hire personnel; update Tribal Codes, policies, and procedures; and implement expanded sentencing authority. Do not select this if you are implementing or enhancing a Healing-to-Wellness Court. Please select the Healing-to-Wellness Court/Drug Court Program Activity.	\$ _____
<input type="checkbox"/>	Healing-to-Wellness Court/Drug Court: Implement a new or enhance an existing Tribal Healing-to-Wellness Court or Drug Court.	\$ _____
<input type="checkbox"/>	Treatment: Identify and provide treatment opportunities to appropriate offenders.	\$ _____
<input type="checkbox"/>	Developing and Integrating Risk and Needs Assessment Tools	\$ _____
<input type="checkbox"/>	Development and Enhancement of Reentry Programs: Develop and enhance reentry programs such as job training and placement, housing assistance, and treatment services.	\$ _____
<input type="checkbox"/>	Legal Assistance Activities: Provide civil and criminal legal assistance services.	\$ _____
<input type="checkbox"/>	Administrative Set Aside: Should not exceed 40% of the grant amount. Please include fringe benefits, overhead, rent, etc.	\$ _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

II. BASELINE CHARACTERISTICS

This next set of questions is about baseline data for services provided before this grant. Data reported should be for the 3 months before the start of the TCAP grant. These questions will only be reported once, during the first reporting period where activity occurs.

3. Will you be providing direct services to individuals with this grant? Direct services are those that are provided to individuals directly by the grantee organization or through subcontracts or subgrants paid for at least partially using BJA program funds. Examples of services provided to individuals include but are not limited to substance abuse treatment, housing, education, mental health or physical health services, or cognitive or behavioral health services.
 - A. Yes
 - B. No (Go to Question 5)

4. What is the estimated number of individuals receiving **direct services** that are anticipated to be served with this grant? *Direct services are those that are provided to individuals directly by the grantee organization or through subcontracts or subgrants paid for at least partially using BJA program funds. Examples of services provided to individuals include but are not limited to substance abuse treatment, housing, education, mental health or physical health services, or cognitive or behavioral health services.* _____

5. Do you have an operational Tribal Court?
 - A. Yes
 - B. No (Go to next section)

6. What is the total number of civil cases filed in the court for the 3 months before the start of the grant? *A civil case is considered filed if it has been placed on the court docket (schedule). Please enter zero (0) if the Tribal Court, in the 3 months before the start of the grant, did not oversee civil cases.* _____

7. What is the total number of criminal cases filed in the court for the 3 months before the start of the grant? *A criminal case is considered filed if it has been placed on the court docket (schedule). Please enter zero (0) if the Tribal Court, in the 3 months before the start of the grant, did not oversee criminal cases.* _____

8. Are your Tribal codes made **publicly available**? *“Publicly available” means that the Tribal codes were made available to Tribal members and not necessarily to the general (non-Tribal) public.* _____
 - A. Yes
 - B. No

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

III. TRAINING

This set of questions asks about training opportunities, both internal and external, provided to Tribal criminal justice professionals. Your grant activities should represent data collected from the first day of the reporting period.

9. Have program staff attended any **internal or external training** during the reporting period? Do not include initial trainings for guardians ad litem. *Staff are any individuals providing services to the program, including (but not limited to) attorneys, paralegals, administrative assistants, lay advocates, social workers, probation officers, and guardians ad litem. Volunteers and interns may be included.*
- A. Yes
 - B. No (Go to Question 13)
10. How many **internal or external trainings** related to the Tribal Court or justice system have been attended by program staff during the reporting period? *Count the number of trainings attended, regardless of how many individuals attended. Internal trainings are any that are held in the Tribal community. External trainings are held outside of the Tribal community.* _____
11. How many hours of internal and/or external training were attended by **staff**? Count the aggregate total number of hours each staff person received training. *For example, if 3 people each received 2 hours of training, please report 6 hours as the answer.* _____
12. How many staff attended training during the reporting period? *Please enter the number of individuals who attended training during the reporting period.* _____
13. During the reporting period, did you deliver a training event? *A training event is any in-person training, teleconference, online training, or Webinar where a trainer delivers a standard curricula to individuals in real-time. If the training is recorded via Webinar or any other digital media, please report when the digital media was made available to individuals and viewed during the reporting period.*
- A. Yes
 - B. No (Go to next section)
14. During the reporting period, please enter the number of live training events delivered and the number of training Webinars (or other forms of digital media) made available to individuals.
- A. Number of live training events. *A training event is considered "live" when the training curricula is delivered to individuals in real-time via Webinar, teleconference, online, or in person or through any other form of digital media.* _____
 - B. Number of training Webinars (or other forms of digital media), not delivered "live," made available to individuals. _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

IV. PERSONNEL

This set of questions asks about full- and part-time employees, contract personnel, and nonpaid staff who were hired or maintained as a result of program activities.

15. Did you hire full-time or part-time employees, contract personnel, or nonpaid staff during the reporting period? *If you reported personnel you hired in a prior reporting period, you should not report them again. If no hiring was done this reporting period, please select “no”.*

- A. Yes
- B. No (Go to the next section)

16. Please complete the matrix below. Include the number of part-time or full-time personnel who were hired to work with the program, either as employees, contract personnel, or nonpaid staff during the reporting period. Please only count those persons once in the reporting period in which they started performing program activities. *If you are retaining any employees (paying the salary of existing employees with this grant), report these individuals under the “Employees” section.*

	Employees		Contract Personnel		Nonpaid Staff	
	Full-time	Part-time	Full-time	Part-time	Full-time	Part-time
A. Judges						
B. Defense Attorneys/Public Defenders						
C. Prosecutors						
D. Attorneys (general practice)						
E. Court Clerks						
F. Court Administrators						
G. Paralegal(s)						
H. Administrative Assistant(s)						
I. Information Technology (IT) Personnel						
J. Social Workers (e.g., LCSW)						
K. Case Managers						
L. Chemical Dependency Counselors (e.g., licensed addiction counselors, family counselors)						
M. Probation Officers						
N. Law Enforcement Officers						
O. Program Coordinators/Directors						
P. Other						
Q. If other, please explain						

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

V. PURCHASING EQUIPMENT

This set of questions asks about the amount and type of equipment and supplies that were purchased using grant funds. Your grant activities should represent data collected during the reporting period.

Equipment Purchases and Technology Investments

17. Were grant funds used to purchase equipment and/or supplies during the reporting period?
- A. Yes
 - B. No (Go to next section)
 - C. If yes, please enter the amount of grant funds used for equipment and/or supplies during the reporting period. _____
18. Indicate the type of equipment and/or supplies purchased with the grant (check all that apply).
- A. Computer hardware (e.g., personal computers, servers, printers, fax machines, audio recording equipment, video conferencing equipment)
 - B. Hardware and software/programming used to automate criminal justice information sharing (e.g., software used for criminal history background checks, NCIC connections, fingerprint scanners, or interagency communication)
 - C. Software to automate court processes (e.g., information management systems)
 - D. Alcohol/offender monitoring devices and related equipment
 - E. Supplies for drug and alcohol testing and other related supplies (urinalysis, blood testing, etc.)
 - F. Office Supplies
 - G. Court Security Equipment (e.g., cameras and video recording equipment)
 - H. Other
 - I. If other, please specify _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

VI. STRATEGIC PLANNING

This set of questions consists of planning milestones that are logical steps toward the completion of a strategic plan/Tribal action plan. Also included are key strategic planning performance measures. Your grant activities should represent data collected from the first day of the reporting period.

These milestones are used to measure progress toward developing a Tribal action plan/strategic plan that will help guide strategic efforts to address issues stemming primarily from alcohol and substance abuse.

Please mark each planning milestone below as “complete,” “not complete,” or “not applicable” for what has been accomplished either during or before the current reporting period. For example, mark “complete” during the quarter in which a project manager was appointed for the first milestone. Your response will be “carried-forward” into subsequent reporting periods, during which time you will have the opportunity to review and revise. To take this same example further, if the project manager resigns during a subsequent quarter, please select “not complete” for the quarter that the project manager resigned. Select “complete” when a new project manager is appointed. Please mark “not applicable” if the milestone does not apply to your activities.

Planning Milestones

19. Appoint project manager.

- A. Complete
- B. Not Complete
- C. Not Applicable

20. Planner identifies key personnel with access to data and information regarding **crime and alcohol and substance abuse** in your communities.

- A. Complete
- B. Not Complete
- C. Not Applicable

21. Establish formal or informal data- and information-sharing agreements with identified key organizations.

- A. Complete
- B. Not Complete
- C. Not Applicable

22. Submit 50% of strategic plan/Tribal action plan to BJA for review.

- A. Complete
- B. Not Complete
- C. Not Applicable

23. Submit FINAL strategic plan/Tribal action plan to BJA for review.

- A. Complete
- B. Not Complete
- C. Not Applicable

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

Community Partnerships

24. Did your program work with an advisory group/committee during the reporting period? *An advisory committee generally includes individuals that represent an array of justice-related and community-based organizations. An advisory group/committee is more than an internal working group and contains representation from external organizations or community members meant to give project oversight and guidance.*
- A. Yes
 - B. No
25. Please select the type of **NEW PARTNERSHIPS** initiated during the reporting period. (Check all that apply.) *A partnership may be a formal (written) or informal (verbal) agreement between agencies or individuals to provide support or services to the Tribal justice system.*
- A. Tribal child support enforcement
 - B. Tribal judges
 - C. Tribal prosecutors
 - D. Tribal law enforcement
 - E. Tribal corrections and community corrections
 - F. Local partners
 - G. State partners (e.g., state justice agencies)
 - H. Federal partners (Federal agencies)
 - I. Public defenders
 - J. Behavioral health professionals and service providers (including IHS)
 - K. Correctional departments (Federal, state, and Tribal)
 - L. Other partners (e.g., school districts, etc.)
 - M. If other, please explain _____
26. How many individuals were included as part of the planning process during the reporting period? **If one person represents both categories, please choose only one category.** *Please enter the total number of individuals consulted in each category. For example, a Tribal elder is also an elected official; please count this person in only one category by entering this person into either "Tribal elders" or "Tribal elected officials."*
- A. Community members _____
 - B. Tribal elders _____
 - C. Tribal elected officials _____
 - D. Local justice system representatives _____
 - E. State justice system representatives _____
 - F. Federal justice system representatives _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

27. As a result of the strategic plan, how many Memorandums of Understanding (MOUs) or other formal agreements specific to the Tribal justice system have been signed between the Tribal government and other governmental and nongovernmental agencies during the reporting period? *Tribal entities include governmental agencies as well as tribally owned and operated businesses and nonprofit organizations.*
- A. Number of MOUs/Agreements with city/county agencies _____
 - B. Number of MOUs/Agreements with state agencies _____
 - C. Number of MOUs/Agreements with Tribal entities _____
 - D. Number of MOUs/Agreements with other nongovernmental non-Tribal entities _____
28. During the reporting period, were Tribal resolutions proposed or passed that resulted from the strategic plan?
- A. Yes
 - B. No (Go to next section)
29. During the reporting period, how many Tribal resolutions that resulted from the strategic plan were proposed and passed?
- A. Proposed _____
 - B. Passed _____

VII. COMMUNITY ALCOHOL AND SUBSTANCE ABUSE PREVENTION/EDUCATION

This set of questions asks about community-based initiatives and outreach efforts aimed at curbing alcohol and substance abuse. Prevention-based activities should be reported here. Your grant activities should represent data collected from the first day of the reporting period.

30. Did you work to raise community awareness of alcohol or substance use problems during this reporting period? *Awareness-raising activities are those activities where your primary purpose is to draw attention to a substance abuse problem (e.g., underage drinking) or to your prevention efforts.*
- A. Yes
 - B. No (Go to next section)
31. How many alcohol and substance abuse prevention **INITIATIVES** have you **STARTED** during the reporting period? *A prevention initiative is defined as any activity designed to raise awareness about alcohol and substance abuse. Examples include but are not limited to training events, community gatherings, an advertising blitz, school-based programs, or compliance checks.* _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

32. How many community-based alcohol and substance abuse prevention **ACTIVITIES** were **COMPLETED** during the reporting period? *Please report the total number of prevention initiatives such as organized youth activities, summer camps, powwows, and other community gatherings that were completed. Please count each event/activity once.*
- _____
33. Indicate the population(s) you targeted for substance abuse prevention during the reporting period. This question is intended to identify the specific groups of people for whom your prevention interventions are intended. (Select all that apply.)
- A. Pre-kindergarten youth
 - B. Elementary school students
 - C. Middle school students
 - D. High school students
 - E. Under age 18
 - F. Under age 21
 - G. Young adults ages 18–25
 - H. Adults ages 26–55
 - I. Other
 - J. If other, please explain _____
34. Please indicate the areas of focus (intervening variables) for substance abuse prevention during the reporting period. Areas of focus (intervening variables) are factors that have been identified through research as being strongly related to and influencing the occurrence and magnitude of substance use and related risk behaviors and their subsequent consequences. These variables are the focus of prevention strategies, changes in which are then expected to affect consumption and consequences. (Select all that apply.)
- A. Level of *enforcement* (This includes any activities that affect the enforcement of laws controlling the use and/or distribution of illegal substances, including prescription drugs, as well as alcohol. Examples are party patrols and roadside checks.)
 - B. Social access (Social access refers to the availability of illegal substances and alcohol in social and community settings excluding retail establishments, such as parties and community gatherings. An example is a focus on implementing a social host ordinance.)
 - C. Retail access (Retail access refers to the availability of alcohol and other controlled substances in a retail establishment. An example of activities focusing on retail access would be compliance checks.)
 - D. Norms (Norms are the perceptions of substance use that exist within the community and are accepted as commonplace. An example of activities focusing on norms would be a campaign that discourages the use of alcohol at a community event/gathering that has accepted/encouraged alcohol use in the past.)

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

- E. Perception of risk of detection or getting caught (*This includes any activities that would increase individuals' perceptions that if they illegally use alcohol, tobacco, or other drugs, they will eventually get caught by law enforcement.*)
- F. Perception of risk or harm (*This includes any activities that would increase individuals' perceptions that legally or illegally using alcohol, tobacco, or other drugs will increase the risk of harm or bodily injury, such as alcohol-involved crashes.*)
- G. Individual factors (*This includes any activities targeted at influencing the individual-level factors that may lead to the use of alcohol, tobacco, or other drugs as a coping mechanism to alleviate symptoms of mental illness or stress.*)
- H. Availability of pro-social activities (*This includes any activities that promote alcohol- and drug-free activities.*)
- I. School policies (*This includes any activities that address school policies and procedures regarding the legal and illegal use of alcohol, tobacco, or other drugs.*)
- J. Other
- K. If other, please explain _____

35. Please indicate the substance abuse problems or prevention efforts you are attempting to raise awareness of in your community. (Select all that apply.)

- A. Substance abuse rates or trends (This includes any identified rates or trends that may be of concern to the community, such as increased marijuana use among teenagers. A trend is a general direction in which something is developing or changing. A rate is a measure, quantity, or frequency, typically one measured against some other quantity or measure—"the crime rate," for example.)
- B. Consequences related to substance use, such as vehicle crashes or arrests for drunken driving
- C. Areas of focus (intervening variable) associated with substance use and its consequences

36. How many training opportunities discussing alcohol and substance abuse awareness were made available to the public during the reporting period? *For example, this may include Responsible Beverage Sales and Service training, as well as any other alcohol and substance abuse awareness presentation or curriculum. School- and youth-based prevention programs would be counted here.* _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

37. Indicate the community members and/or groups (i.e., target audience) to whom you presented awareness training information during the reporting period. (Select all that apply.)

- A. Tribal council
- B. General public/community
- C. Youth groups
- D. Parents/family/caregiver groups
- E. Business community
- F. School(s)/school districts
- G. Organization(s) serving youth, other than schools (e.g., Big Brother/Big Sisters)
- H. Law enforcement agencies
- I. State agencies (e.g., Attorney General Office, Department of Juvenile Justice)
- J. Faith-based organization(s) (e.g., churches or charitable organizations with religious affiliations)
- K. Other
- L. If other, please explain _____

38. How many people participated in the training opportunities/meetings during the reporting period? _____

39. What types of prevention or education program materials have been developed during the reporting period? Please report the number of each distinct type of material developed.

- A. Curriculum _____
- B. Brochures, pamphlets, and posters _____
- C. Presentations (e.g., PowerPoints) _____
- D. Electronic newsletters _____
- E. Websites _____
- F. Web-based presentations and electronic media (e.g., Webinars or YouTube videos) _____
- G. Other
- H. If other, please specify _____

40. What other prevention-related or educational media were used to disseminate prevention and education information during the reporting period? (Select all that apply.)

- A. Listserv
- B. Radio (advertisements or program specials)
- C. Television advertisement (commercial or public service announcement [PSA])
- D. Newspaper (article [op-ed] or advertisement)
- E. Social media (e.g., Facebook, Twitter)
- F. Other
- G. If other, please specify _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

41. Did you work to enact or revise Tribal laws or policies relating to the availability and use of drugs or alcohol during the reporting period?
- A. Yes
 - B. No
 - C. If yes, please explain the work that was done during the reporting period.

42. Did you conduct compliance checks to target merchants who sell alcohol to minors during the reporting period?
- A. Yes
 - B. No (Go to next section)
43. How many compliance checks were conducted during the reporting period?
- A. Number business establishments that received a compliance check _____
 - B. Number of business establishments that received a warning resulting from the compliance check _____
 - C. Number of business establishments that received a violation/citation resulting from the compliance check _____

VIII. LAW ENFORCEMENT AND ADJUDICATION

This set of questions asks about law enforcement and adjudication efforts aimed at prosecuting individuals for alcohol- or substance abuse–related crime. These include cross-deputation agreements and Special Law Enforcement Commissions. Your grant activities should represent data collected during the reporting period.

Alcohol- or substance abuse–related crime refers to any offense that involves the illegal use or unlawful distribution of drugs and/or crimes committed by individuals who may be under the influence of alcohol or drugs.

44. How many individuals were arrested for any criminal offense by local law enforcement during the reporting period where the offense took place in the grantee’s jurisdiction? *Criminal offenses exclude status offenses, traffic offenses (with the exception of DWI/DUI), and any local ordinance violations.*
- A. Number of individuals arrested for any offense by local law enforcement during the reporting period. _____
45. Of those individuals who were arrested, how many were arrested for alcohol- and substance abuse–related crimes during the reporting period? *Alcohol- or substance abuse–related crime refers to any offense that involves the illegal use or unlawful distribution of drugs and/or crimes committed by individuals who may be under the influence of alcohol or drugs.* _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

46. How many individuals were referred to Tribal Court for a **criminal offense** during the reporting period? _____
47. How many individuals were referred to Tribal Court for an **alcohol- or substance abuse–related crime** during the reporting period? _____
48. How many **criminal** cases were referred to a state or Federal prosecutor during the reporting period where the offense took place in the grantee’s jurisdiction? _____
49. Of those, how many cases were related to **alcohol or substance abuse**? *Alcohol- or substance abuse–related crime refers to any offense that involves the illegal use or unlawful distribution of drugs, and/or crimes committed by individuals who may be under the influence of alcohol or drugs. Please count each case only once—do not count a case in this question if it was counted in the previous quarter.* _____
50. As a result of grant activities, how many cross-deputization and/or mutual aid agreements have been established during the reporting period? *A cross-deputization agreement gives certain law enforcement officers authority to act as officers under the state’s law, the tribe’s law, and/or the Federal government’s law.* _____
51. As a result of grant activities, was training provided (e.g., POST training) to assist Tribal police officers with receiving Special Law Enforcement Commissions (SLEC)? *The BIA may issue SLECs to Tribal, Federal, state, and local full-time certified law enforcement officers who will serve without compensation from the Federal government to allow for active assistance in the enforcement of applicable Federal criminal statutes.*
- A. Yes
 - B. No (Go to next section)
52. As a result of grant activities, how many Tribal police officers received a SLEC during the reporting period? _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

IX. DEVELOPMENT AND ENHANCEMENT OF TRIBAL JUSTICE SYSTEMS AND COURTS, INCLUDING SENTENCING DIVERSION PROGRAMS AND ALTERNATIVES TO INCARCERATION

This set of questions asks about the development and enhancement of tribal justice systems and Tribal Courts, including hiring personnel, fostering new policies and procedures, and implementing expanded sentencing authority. It also includes diversion programs and alternatives to incarceration. This includes probation diversion and other alternative sentences, with an emphasis placed on alcohol- or substance abuse–related crimes. Your grant activities should represent data collected during the reporting period.

Development and Enhancement of Tribal Courts

53. Was a **new** Tribal Court **implemented** during the reporting period, excluding healing-to-wellness courts? *A new Tribal Court may be one that is newly created and staffed. It may also be a new component to the existing court, including adding a day to the court schedule that is devoted to a specialty court. Please select the Healing-to-Wellness Court/Drug Court program activity category if you are implementing or enhancing a healing-to-wellness court or drug court.*
- A. Yes
 - B. No
54. During the reporting period, what types of court programs do you have in operation? (Check all that apply.) *Please report all courts, even if they are not funded by BJA grant funds.*
- A. Western court
 - B. Healing-to-Wellness Court/Drug Court
 - C. Sentencing circle
 - D. Alternative justice court
 - E. Law enforcement court
 - F. Problem-solving court
 - G. Other
 - H. If other, please explain _____
55. Was peacemaking implemented or maintained during the reporting period? *“Maintained” means that BJA grant funds are used to sustain peacemaking that existed before the start of the program activities.*
- A. Yes
 - B. No

Criminal Cases

56. Does the Tribal Court handle criminal cases?
- A. Yes
 - B. No (Go to Question 60)

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

57. How many **criminal cases** were filed in the Tribal Court during the reporting period? *A criminal case is considered filed if it has been placed on the court docket (schedule).*

58. How many **criminal cases** were heard before a judge during the reporting period? _____

59. How many **criminal cases** were **closed** during the reporting period? *A criminal case is considered closed when a case is dismissed, a plea agreement and sentence have been entered, or a verdict and sentence have been rendered.* _____

Civil Cases

60. Does the Tribal Court handle civil cases?

- A. Yes
- B. No (Go to Question 65)

61. How many **civil cases** were filed in the Tribal Court during the reporting period? *A civil case is considered filed if it has been placed on the court docket (schedule).* _____

62. Of those civil cases filed in the Tribal Court, how many are among the following?

- A. Protection orders _____
- B. Property and probate _____
- C. Tort _____
- D. Family law (do not include domestic violence cases if they resulted in criminal court cases heard before a judge) _____
- E. Dependency (dependency court cases involve the protection of children who have been or are at risk of being abused, neglected, or abandoned; this includes Indian Child Welfare Act cases) _____
- F. Other
- G. If other, please explain _____

63. How many **civil cases** were heard before a judge during the reporting period? *Please include all cases.* _____

64. How many civil cases were closed during the reporting period? *A civil case is considered closed when a case is dismissed or a judgment has been rendered in the case.* _____

Codes, Policies, and Procedures

65. Were **civil or criminal codes or policies** updated or newly added during the reporting period? *Select "Yes" if any eligible tribes are in the process of writing or updating codes or policies.*

- A. Yes
- B. No (Go to Question 69)

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

66. How many **new civil or criminal codes or policies** have been developed for the tribe(s) or Tribal Court during the reporting period? *Civil codes and policies help to resolve disputes between individuals and/or organizations and define the rights of the individuals. Criminal codes or policies define what is considered a crime or a wrongful act and set an appropriate consequence for committing the crime or wrongful act.* _____
67. How many civil or criminal codes or policies were **updated or amended** for the tribe(s) or Tribal Court during the reporting period? _____
68. Explain the **status** of code or policy revisions. *The complete date, a link to the code Website, or any other information may be provided. (Please enter N/A if not applicable.)*

Tribal Law and Order Act Implementation

69. Does the Tribal Court meet the statutory requirements for exercising expanded sentencing authority for criminal cases under the Tribal Law and Order Act?
A. Yes (Go to Question 71)
B. No
70. If no, does the Tribal Court have a plan to start exercising expanded sentencing authority?
A. Yes
B. No (Go to Question 75)
71. Were **any** Tribal resolutions **identified** that are necessary for the court to begin exercising expanded sentencing authority during the reporting period?
A. Yes
B. No
72. Have Tribal resolutions that are necessary for the court to begin exercising expanded sentencing authority **been voted on and approved during the reporting period**? *Please only select "Yes" when a resolution has been voted on AND approved. Report only for the reporting period in which the resolution was passed.*
A. Yes
B. No
73. As a result of grant activities, how many Tribal Prosecutors have been appointed as Special Assistant U.S. Attorneys during the reporting period? *The Tribal Law and Order Act authorizes and encourages U.S. Attorneys to appoint Special Assistant U.S. Attorneys to prosecute crimes in Indian Country.* _____
74. As a result of grant activities, was training provided to assist Tribal prosecutors in receiving Special Assistance U.S. Attorney designations? *The Tribal Law and Order Act authorizes and encourages U.S. Attorneys to appoint Special Assistant U.S. Attorneys to prosecute crimes in Indian Country.*
A. Yes
B. No

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

Diversion and Alternatives to Incarceration

75. During the reporting period, did you implement or enhance court diversion or alternatives to incarceration/probation programs as a result of program activities?
- A. Yes
 - B. No (Go to next section)
76. As a result of grant activities, how many individuals were referred on a criminal offense to Tribal Court during the reporting period? _____
77. Were there any adjudications resulting in probation or nonprobation placements during the reporting period?
- A. Yes
 - B. No (If no, skip the next two questions)
78. As a result of grant activities:
- A. How many individuals were adjudicated in Tribal Court during the reporting period?

 - B. Of those sentenced to probation, how many individuals were referred to treatment during the reporting period? _____
 - C. Of those who were referred to treatment, how many individuals began treatment during the reporting period? _____
79. How many individuals were adjudicated in Tribal Court who received a sentence other than probation?
- A. Number of individuals adjudicated in Tribal Court who received a sentence other than probation during the reporting period _____
 - B. Of those, how many individuals were referred to treatment during the reporting period? _____
 - C. Of those who were referred to treatment during any reporting period, how many individuals completed treatment during the current reporting period? _____
80. Please enter the number of individuals adjudicated in Tribal Court during the reporting period who received alternative sentences to incarceration/jail.
- A. Community supervision _____
 - B. Community service _____
 - C. Mental health treatment _____
 - D. Alcohol or other substance abuse treatment _____
 - E. Electronic monitoring _____
 - F. Healing-to-Wellness Court/Drug Court _____
 - G. Other _____
 - H. If other, please explain _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

81. How many individuals violated the conditions of their probation and received additional court sanctions? *This could include revocation (being taken off probation and sent to incarceration); or additional graduated sanctions such as a short jail stay, house arrest, electronic monitoring, more frequent meetings with a judge or probation officer, more frequent drug/alcohol testing, or a more restrictive curfew.* _____

X. HEALING-TO-WELLNESS COURTS/DRUG COURTS



This set of questions asks about participants in the Healing-to-Wellness Court/Drug Court. Your grant activities should represent data collected from the first day of the reporting period.

Number of Healing-to-Wellness Court Participants Receiving Services

82. Was your Healing-to-Wellness Court operational during the reporting period?
“Operational” means that you currently have participants enrolled in the program.
- A. Yes (go to Question 84)
 - B. No (answer Question 83 and then go to Question 101)
83. If no, please select the best reason why your Healing-to-Wellness Court was not operational during the reporting period.
- A. The Healing-to-Wellness Court does not have any enrolled participants.
 - B. The Healing-to-Wellness Court is operational but did not have any qualified referrals.
 - C. The Healing-to-Wellness Court is in the planning phase.
 - D. The Healing-to-Wellness Court is not fully staffed (e.g., judgeship turnover or turnover in the court team).
84. Please enter the total number of participants enrolled in the Healing-to-Wellness Court/Drug Court program at the end of the reporting period. *Enrolled participants include new admissions (i.e., newly admitted) and those admitted in a previous reporting period and who continue to participate.*
- A. Total number of participants enrolled in the Healing-to-Wellness Court/Drug Court program at the end of the reporting period _____
85. Has the Healing-to-Wellness Court/Drug Court program admitted new participants into the Healing-to-Wellness Court/Drug Court program during the reporting period?
- A. Yes
 - B. No
 - C. If no, please explain

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

86. Please enter the number of newly admitted drug court participants during the reporting period. *New participants are unique individuals who were not previously enrolled in the Healing-to-Wellness Court/Drug Court program in previous reporting periods. Only individuals who exit the Healing-to-Wellness Court/Drug Court program without completion and are readmitted may be counted twice. For the first reporting period that the program becomes operational, report all participants enrolled as NEW.*
- A. Number of newly admitted Healing-to-Wellness Court/Drug Court participants during the reporting period _____

Screening and Program Intake

87. Please enter the number of Healing-to-Wellness Court/Drug Court candidates who were screened during the reporting period. *Healing-to-Wellness Court/Drug Court candidates are those identified at the time of arrest or referred by criminal justice professionals (prosecutor, defense attorney, probation officer, judge, etc.) but who may not necessarily be deemed eligible for participation. A screening determines eligibility and appropriateness for participation in a Healing-to-Wellness Court/Drug Court.*
- A. Number of Healing-to-Wellness Court/Drug Court candidates who were screened during the reporting period _____
88. Of those screened, please enter the number of individuals who were determined to be eligible for Healing-to-Wellness Court/Drug Court participation during the reporting period. *Eligible individuals include anyone who qualifies or meets the predefined requirements of the Healing-to-Wellness Court/Drug Court program.*
- A. Number of individuals who were determined to be eligible for Healing-to-Wellness Court/Drug Court participation during the reporting period _____
89. Of those screened, please enter the number of individuals who were determined to be ineligible for Healing-to-Wellness Court/Drug Court participation during the reporting period. *Ineligible individuals include anyone who does not qualify or meet the predefined requirements of the Healing-to-Wellness Court/Drug Court program.*
- A. Number of individuals who were determined to be ineligible for Healing-to-Wellness Court/Drug Court participation during the reporting period _____
90. Of those screened and determined to be ineligible for Healing-to-Wellness Court/Drug Court participation, please enter the number of such individuals based on the following categories. If an individual was determined to be ineligible for multiple reasons, report that individual under all categories that apply.
- A. No Drug Problem _____
- B. Exclusionary Prior Nonviolent Offense _____
- C. Violent History _____
- D. Mental Health Diagnosis _____
- E. Other _____
- F. If other, please explain
- _____
- _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

91. Of those eligible but who did not enter the Healing-to-Wellness Court/Drug Court program during the reporting period, please enter the number of such individuals based on the following categories. If an individual was eligible but did not enter the Healing-to-Wellness Court/Drug Court program for multiple reasons, report that individual under all categories that apply.
- A. Participant Refused Entry _____
 - B. Prosecutor or Defense Objection _____
 - C. Judicial Objection _____
 - D. Out of Jurisdiction _____
 - E. Arrest, Conviction, or Incarceration on Another Charge _____
 - F. Other _____
 - G. If other, please explain
-
-

Risk Assessment

92. Please enter the number of newly admitted Healing-to-Wellness Court/Drug Court participants who were administered a risk and need assessment during the reporting period. *A risk and need assessment is an instrument to help identify factors that may lead a participant to reoffend. It pinpoints needed services to minimize those risks. Only include those individuals who have been newly admitted to the Healing-to-Wellness Court/Drug Court program during the reporting period.*
- A. Number of newly admitted Healing-to-Wellness Court/Drug Court participants who were administered a risk and need assessment during the reporting period _____

93. Please name the risk assessment instrument that is used to assess risk and need.
-

94. Of those newly admitted participants who were administered a risk and need assessment during the reporting period, please enter the number of such individuals who were identified as having high criminogenic risks and high abuse treatment needs.
- A. Number of participants who were identified as having high criminogenic risks and high abuse treatment needs _____

Program Completion

95. Please enter the number of Healing-to-Wellness Court/Drug Court participants who successfully completed all program requirements, excluding financial obligations, during the reporting period. *The number entered should represent only those participants who successfully completed all the requirements of the Healing-to-Wellness Court/Drug Court program during the reporting period.*
- A. Number of Healing-to-Wellness Court/Drug Court participants who successfully completed all program requirements during the reporting period _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

96. Of those who completed all program requirements, from start to finish, please indicate when these participants graduated from the program within the following timeframes. *The sum of all of these categories should be equal to the total number of successful completions in Question 95. If not, please check for data entry errors.*

- A. 0 to 6 months _____
- B. 7 to 12 months _____
- C. 3 to 18 months _____
- D. 19 to 24 months _____
- E. 25 months or more _____

97. Please enter the number of individuals who **did not complete** the Healing-to-Wellness Court/Drug Court program during the reporting period for the categories below. Participants should not fit in more than one category, so choose the option that best represents why these individuals did not complete the program.

Participants Who Did Not Complete the Program or Exited Unsuccessfully		
Measure		Number
A.	Number of participants no longer in the program due to court or criminal involvement (technical violation, arrest, conviction, revocation, reincarceration)	
B.	Number of participants no longer in the program due to a lack of engagement (no-shows and nonresponsive participants)	
C.	Number of participants no longer in the program due to absconding	
D.	Number of participants no longer in the program due to relocating or case transfer	
E.	Number of participants no longer in the program due to death or serious illness	
F.	Number of participants who did not complete the program for other reasons (please specify below) _____ _____ _____	

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

98. During the reporting period, of those Healing-to-Wellness Court/Drug Court participants who exited the Healing-to-Wellness Court/Drug Court program unsuccessfully or did not complete the program, please indicate when these participants left, from start of the program to termination, within the following timeframes. *The sum of all of these categories should be equal to the total number of incompletes in Question 97. If not, please check for data entry errors.*
- A. 0 to 3 months _____
 - B. 4 to 6 months _____
 - C. 7 to 9 months _____
 - D. 10 to 12 months _____
 - E. 13 to 18 months _____
 - F. 19 or more months _____

Alcohol and Substance Involvement

99. Of those enrolled in the Healing-to-Wellness Court/Drug Court program at least 90 days, please enter the total number of participants tested for alcohol, nonprescribed medications, or illegal substances during the reporting period. *This should represent the total number of Healing-to-Wellness Court/Drug Court participants who were tested for the presence of alcohol or illegal substance use. The number entered should be an unduplicated count only of participants enrolled in the program at least 90 days who were tested for alcohol or illegal substances, and it should be equal to or greater than the number of participants who tested positive. If not, please check for data entry error.*
- A. Total number of participants tested for alcohol, nonprescribed medications, or illegal substances during the reporting period _____
100. Of those enrolled in the Healing-to-Wellness Court/Drug Court program at least 90 days, please enter the number of participants who tested positive for the presence of alcohol, nonprescribed medications, or illegal substances during the reporting period. *The number entered should be an unduplicated count only of participants enrolled in the program at least 90 days who tested positive for alcohol, nonprescribed medications, or illegal substances, and it should be equal to or less than the total number of participants tested. If not, please check for data entry error. Information on alcohol and substance use should be based on documented tests rather than self-reported information from program participants. Include all participants who received services during the reporting period, regardless of whether they successfully completed the program, exited the program without completion, or have been enrolled in the program for at least 90 days.*
- A. Number of participants who tested positive for the presence of alcohol, nonprescribed medications, or illegal substances during the reporting period _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

XI. TREATMENT

This set of questions asks about the expansion and development of treatment services for offenders during the reporting period who were diverted from the justice system, offenders currently incarcerated or otherwise under justice supervision, and reentering offenders and their families. Your grant activities should represent data collected from the first day of the reporting period.

101. As a result of grant activities, was access to treatment services added or expanded (i.e., were more slots added) during the reporting period? *This may include expanding capacity for current programs or new service agreements with treatment providers. This includes inpatient and outpatient treatment services as well as other treatment services. Please only count once in the reporting period in which the services were added.*
- A. Yes
 - B. No
102. If yes, please choose the type of services added or expanded during the reporting period. Check all that apply. *Services may be added by providing direct services to individuals or through agreements with service providers that accept referrals from the Tribal Court and/or justice system.*
- A. Inpatient treatment slots
 - B. Outpatient treatment slots
 - C. Recovery support services (e.g., employment, housing, education, mental health services, or health services such as medical and dental care)
 - D. Peacemaking
 - E. Other treatment services
 - F. If other, please explain _____
103. Please describe the types of treatment services added. _____
104. Is the tribe's culture a component of the treatment program?
- A. Yes
 - B. No
 - C. If yes, please describe the treatment program.

105. How many individuals were referred for treatment services during the reporting period?

106. How many individuals completed a treatment program during the reporting period?

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

107. Were your program funds used to provide inpatient treatment services during the reporting period?
- A. Yes
 - B. No
 - C. If yes, please enter the total number of session days delivered for inpatient services during the reporting period. _____
108. Please enter the total number of individuals receiving inpatient treatment services during the reporting period. *Inpatient treatment services are those that require the patient to stay overnight in a structured environment for an extended period of time.* _____
109. Were your program funds used to provide outpatient treatment services during the reporting period?
- A. Yes
 - B. No
 - C. If yes, please enter the total number of session hours delivered for outpatient services during the reporting period. _____
110. Please enter the total number of individuals receiving outpatient treatment services during the reporting period. *Outpatient treatment services are those that do not require the individual to stay overnight and are usually provided to the individual by appointment.* _____

XII. DEVELOPING AND INTEGRATING RISK AND NEEDS ASSESSMENT TOOLS

These questions ask about developing and integrating risk and needs assessment tools in the Tribal Courts and probation departments. Your grant activities should represent data collected during the reporting period.

111. Does the Tribal Court and/or probation department use a risk and needs assessment? *A risk and needs assessment is an instrument to help identify factors that may lead an offender to reoffend. It pinpoints needed services to minimize those risks.*
- A. Yes
 - B. No
112. Have the Tribal Court and/or probation department identified any new assessments/screening tool(s) to adopt during the reporting period?
- A. Yes
 - B. No

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

113. What is the name of the **risk and/or needs assessment instrument** that was identified and or/used?

114. Were any assessments completed during the reporting period?

- A. Yes
- B. No (Go to next section)

115. How many assessments were completed during the reporting period? _____

116. Please complete the matrix below to indicate the type of assessments completed during the reporting period. The sum should be equal to the answer provided. ***If a type of risk assessment instrument listed below is NOT used, please enter zero (0).***

Assessment Type	Number of Individuals Identified as Low	Number of Individuals Identified as Medium or High
A. Behavioral and mental health assessment		
B. Risk assessment		
C. Needs assessment (i.e., assessments that identify behavioral factors and treatment needs)		

XIII. DEVELOPMENT AND ENHANCEMENT OF REENTRY PROGRAMS

This set of questions asks about reentry court programs and treatment services provided to individuals reentering the community from incarceration. Your grant activities should represent data collected from the first day of the reporting period.

117. How many individuals were identified as being eligible for receiving reentry services during the reporting period?

- A. Number of individuals eligible for receiving reentry services during the reporting period _____
- B. Number of individuals selected to receive reentry services during the reporting period _____

118. Does the grantee have a validated risk/needs assessment instrument? *A validated risk assessment is one that has been empirically proven to be effective at predicting criminal recidivism. A risk and need assessment is an instrument to help identify factors that may lead a participant to reoffend.*

- A. Yes
- B. No (Skip next two questions)

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

119. Please enter the number of individuals who were administered a **risk and/or needs assessment** during this reporting period. *A risk and/or needs assessment is an instrument to help identify factors that may lead a participant to reoffend. It pinpoints needed services to minimize those risks. Only include those individuals who may be eligible to receive reentry services.*
- A. Please enter the number of individuals who were administered a **risk and/or needs assessment** during this reporting period. _____
 - B. Of those participants who were administered a risk and/or needs assessment during this reporting period, please enter the number who were identified as having **high criminogenic** risks and high alcohol or substance abuse treatment needs.

120. What is the name of the **risk and/or needs assessment instrument** that is used?

121. How many **NEW** participants received a transition or case plan during the reporting period? *A case plan is designed to heal the offender and community and to support reintegration of the offender into the community. Count those participants who received a new case plan and those who were reassessed and, as a result, needed new or major revisions to their plan. Do not count those individuals who had minor revisions to their case plan.* _____
122. Please enter the number of individuals who received at least one of the following services during the reporting period.
- A. **Job training/employment and placement services:** *Employment services increase opportunities for ex-offenders to find jobs and stay employed.* _____
 - B. **Housing assistance services:** *Housing services are designed to help individuals or families find, obtain, or retain suitable housing. Transitional housing may also be included.* _____
 - C. **Cognitive-based programming:** *Cognitive-based services are therapies that focus on the thought patterns, feelings, and behaviors of clients. These services aim to help clients change how they think and act.* _____
 - D. **Educational training/vocational services:** *Educational training or vocational services foster knowledge by helping participants develop daily life skills that can enhance their opportunities. This may also include Tribal language training.* _____
 - E. **Mental health/health care services:** *Mental health services aim to overcome issues participants may have involving emotional disturbance or maladaptive behavior that adversely affect their socialization, learning, or development. This may include assisting individuals to gain access to healthcare and healthcare insurance.* _____
 - F. **Treatment/substance abuse services:** *Substance abuse services are designed to deter, reduce, or eliminate substance abuse and alcohol and chemical dependency. They can be coupled with mental health or cognitive-based services. However, their main objective is to reduce chemical and psychological dependency on substances.* _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

- G. **Pro-social services:** *Pro-social services aim to organize an individual's leisure time through active engagement in structured activities.* _____
- H. **Culturally based services:** *Culturally based services are those practices that exist in Tribal culture, such as peacemaking or sweat lodges.* _____
- I. **Other** types of community-based assistance _____

123. During the reporting period, how many individuals selected to receive reentry services are **no longer in the program** due to failure to meet program requirements, criminal involvement, a lack of engagement, absconding, relocating or case transfer, serious injury, or death? _____

XIV. LEGAL ASSISTANCE ACTIVITIES

This set of questions is about the legal assistance activities to tribes, Tribal entities, or Tribal members that you are providing, either civil or criminal. Your grant activities should represent data collected from the first day of the reporting period.

124. What types of **legal services** are provided to members of tribes, whole tribes, or a service area? (Select all that apply)

- A. Criminal services
- B. Civil services
- C. No legal assistance services were provided during the reporting period
(Go to Question 126)

125. Have you **hired or retained employees, recruited unpaid volunteers, or signed contracts** with any individuals to provide legal assistance services during the reporting period?

- A. Yes
- B. No

Criminal Legal Assistance

126. Have you provided **criminal legal assistance** to Tribal members during the reporting period?

- A. Yes
- B. No (Go to Question 134)

127. How many defendants have **applied** for criminal legal assistance services during the reporting period? _____

128. How many defendants who applied for services were **determined to be ineligible** during the reporting period? *Individuals may have been determined to be ineligible for any reason.* _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

129. How many **new** cases where criminal legal assistance services are provided were opened during the reporting period? _____
130. How many **total** defendants were provided with criminal legal assistance services during their criminal cases? *This count includes any defendants who first received legal assistance services (new cases) during the reporting period, and those who received services in previous reporting periods and continue to receive them.* _____
131. Of those who were provided with criminal legal assistance services during the reporting period, how many defendants were **charged** with a felony (either through the current sentencing authority or enhanced sentencing authority) or misdemeanor? *Only count one charge per defendant. If a defendant has multiple charges, count the most serious charge.*
- A. Number charged with a felony under current authority _____
 - B. Number charged with a felony under enhanced sentencing authority _____
 - C. Number charged with a misdemeanor _____
132. How many criminal cases, where legal assistance was provided, were **closed** during the reporting period? *A criminal case is considered closed when a case is dismissed, a plea agreement and sentence have been entered, or a verdict and sentence have been rendered in the case.* _____
133. How many **staff hours** of legal assistance were provided to defendants during the reporting period? *To calculate this number, add the number of staff hours provided to each defendant who was served through the grant. Then add the number of hours for the defendants together. Staff hours may include time spent (but not limited to) identifying and speaking with witnesses, locating and examining evidence, interviewing family members, working with experts on determining competency, drafting and filing pleadings, preparing for hearings and other court sessions, and/or investigating any possible violations of a defendant's rights.* _____

Civil Legal Assistance Services

134. Have you provided **civil legal assistance** to Tribal members during the reporting period?
- A. Yes
 - B. No (Go to next section)
135. How many clients/respondents/petitioners have **applied** for civil legal assistance services during the reporting period? _____
136. How many clients/respondents/petitioners who applied for services were **determined to be ineligible** during the reporting period? *Individuals may have been determined to be ineligible for services for any reason.* _____
137. How many **total** clients/respondents/petitioners were provided with civil legal assistance during the reporting period? *This count includes any respondents who first received*

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

legal assistance services (new cases) during this reporting period and those who received services in previous reporting periods and continue to receive them.

138. How many **new** cases, where civil legal assistance services were provided, were opened during the reporting period? *A new case is defined as a case that just began during this reporting period.* _____
139. How many civil cases, where legal assistance was provided, were **closed** during the reporting period? *A civil case is considered closed when a case has been dismissed or judgment and sentence have been rendered in the case.* _____
140. Of those cases that were closed during the reporting period, please indicate the number of each **type of case**.
- A. Protection orders _____
 - B. Property and probate _____
 - C. Tort _____
 - D. Family law *(do not include domestic violence cases if they resulted in a criminal court case heard before a judge)* _____
 - E. Dependency *(dependency court cases involve the protection of children who have been or are at risk of being abused, neglected, or abandoned; this includes Indian Child Welfare Act cases)* _____
 - F. Other _____
 - G. If other, please explain _____
141. How many **staff hours** of legal assistance were provided to clients/respondents/petitioners during the reporting period? *To calculate this number, add the number of staff hours provided to each client/respondent/petitioner who was served through the grant. Then add the number of hours for the clients/respondents/petitioners together. Staff hours may include time spent (but not limited to) identifying and speaking with witnesses, locating and examining evidence, interviewing family members, working with experts on determining competency, drafting and filing pleadings, preparing for hearings and other court sessions, and/or investigating any possible violations of a client's/respondent's/petitioner's rights.* _____

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

XV. SEMIANNUAL NARRATIVE QUESTIONS

The following questions must be answered in January and July of each calendar year and at the close of the grant. Please answer based on your experience for the last 6-month period. You can use up to 5,000 characters for each of your responses.

1. What were your accomplishments within this reporting period?

2. What goals were accomplished, as they relate to your grant application?

3. What problems/barriers did you encounter, if any, within the reporting period that prevented you from reaching your goals or milestones?

4. Is there any assistance that BJA can provide to address any problems/barriers identified in Question 3?

- A. Yes (Please explain)
- B. No

BUREAU OF JUSTICE ASSISTANCE
TRIBAL COURTS ASSISTANCE PROGRAM/INDIAN ALCOHOL
AND SUBSTANCE ABUSE PREVENTION PROGRAM
PERFORMANCE MEASURES

5. Are you on track to fiscally and programmatically complete your program as outlined in your grant application?

A. Yes

B. No (Please explain)

6. What major activities are planned for the next 6 months?

7. Based on your knowledge of the criminal justice field, are there any innovative programs/accomplishments that you would like to share with BJA?

8. Please explain the status of the enhanced sentencing authority in the tribe(s) being provided with services.

9. As a grantee, are there collaboration efforts occurring between you and the Indian tribe(s), specifically the Tribal public defender and any other entity in your service area? If so, please describe these efforts. If not, please explain.

THANK YOU FOR PARTICIPATING!