

BUREAU OF JUSTICE ASSISTANCE
SEXUAL ASSAULT KIT INITIATIVE PURPOSE AREAS 1 & 2
PERFORMANCE MEASURES

GENERAL AWARD ADMINISTRATION

1. Is this the **last reporting period** for which the award will have data to report? For example, were all funds expended and is the award in the process of closing out in the Justice Grants System (JustGrants)?
 - A. Yes *(If Yes, answer the Semiannual Narrative Questions.)*
 - B. No

2. Was there **grant activity** during the reporting period? *There is grant activity when the grantee has obligated, expended, or drawn down grant funds to implement objectives proposed in the Bureau of Justice Assistance (BJA)-approved grant application. If you select Yes, the program becomes Operational and should remain so until the grant closes out.*
 - A. Yes
 - B. No

If No, please select from the following responses:

Reason(s) for no grant activity during the reporting period	Select all that apply
In procurement	<input type="checkbox"/>
Project or budget not approved by agency, county, city, or state governing agency	<input type="checkbox"/>
Seeking subcontractors (Request for Proposal stage only)	<input type="checkbox"/>
Waiting to hire project manager, additional staff, or coordinating staff	<input type="checkbox"/>
Paying for the program using prior federal funds	<input type="checkbox"/>
Administrative hold (e.g., court case pending)	<input type="checkbox"/>
Still seeking budget approval from BJA	<input type="checkbox"/>
Waiting for partners or collaborators to complete agreements	<input type="checkbox"/>
Other	<input type="checkbox"/>
If Other, please explain:	

INVENTORY BASELINE

The following inventory baseline questions gather information about activities that occurred prior to the grant becoming operational.

3. Please select the best designation that describes your agency size. *If part of a consortium, select the designation that best describes the average size of each agency within your consortium. [Carry Forward]*
 - A. Small (less than 250 sworn officers and/or employees)
 - B. Medium (250 to 500 sworn officers and/or employees)
 - C. Large (500 or more sworn officers and/or employees)

4. Which one of the following type of areas best describes the population you serve? *Select only one. If you are part of a statewide agency, please select "Other" and describe the areas your program is serving. [Carry Forward]*
 - A. Urban (a large city with 50,000 or more people)
 - B. Suburban (territory outside of a large city with a population of 2,500 to 50,000 or more people)
 - C. Rural (territory that encompasses all people or housing not included within an urban, suburban, or tribal area)
 - D. Tribal (territory that contains a concentration of people who identify with a federally recognized tribe)
 - E. Other (combination of urban, suburban, rural, and/or tribal)
 - F. If Other, please explain. _____

5. Are SAKI funds being utilized to conduct or complete an inventory of unsubmitted sexual assault kits? *Unsubmitted sexual assault kits include partially tested kits, per the SAKI solicitation.*
 - A. Yes *(If Yes, populate the baseline set of questions below. If No, skip to Question 10.)*
 - B. No

6. Was an inventory of unsubmitted sexual assault kits (SAK) started **prior to the grant being awarded**? *Select "Yes" if you started your SAK inventory prior to receiving SAKI grant funds through some other funding source(s), such as general fund and/or District Attorney of New York (DANY) funding. This includes prior SAKI funding. [Carry Forward]*
 - A. Yes
 - B. No *(If No, skip to Question 10.)*

7. Enter the date the inventory was started. (Month/Year) ____

8. Was your inventory finalized in the previous reporting period?
 - A. Yes *(If Yes, skip to Question 10 under Inventory/Tracking, after answering Question 8C below.)*
 - B. No
 - C. Please enter the date it was finalized ____

Revised August 2024

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Please answer the following questions as they relate to the number of SAKs recorded in your inventory **prior to the beginning of the grant program**. SAKs may fit into multiple categories and should be counted in all applicable categories. *[Carry Forward]*

9. **Prior to the start of the grant program**, how many SAKs did your inventory identify that were unsubmitted, previously tested, and determined not to require testing?
- A. Number of **unsubmitted** SAKs identified. *An unsubmitted SAK has not been submitted to a forensic laboratory for testing and analysis using **Combined DNA Index System (CODIS)-eligible DNA methodologies** (this can include partially tested SAKs that have only been subjected to serological screening or tested with other non-CODIS-eligible DNA methodologies).* _____
 - B. Number of **previously tested** (with CODIS-eligible methodology) SAKs identified, if applicable ____
 - C. Number of SAKs determined not to require testing ____
 - D. Prior to the start of the grant program, how many SAKs were determined to require DNA testing as recorded in your inventory? ____
 - E. Of the SAKs that required DNA testing, how many were sent to lab for testing? ____
 - F. Prior to the start of the grant program, how many CODIS hits did your inventory record? ____
 - G. Prior to the start of the grant program, were there other types of SAKs recorded in your inventory that did not fit in one of the previous categories?
 - I. Yes
 - If Yes, how many? ____
 - If Yes, please explain. _____
 - II. No

INVENTORY AND TRACKING

10. Do you have an electronic tracking system used for monitoring and accounting of SAKs throughout their movement from collection to final disposition?¹ *[Carry Forward]*
- A. Yes
 - If Yes, when was it implemented? (Month/Year) ____
 - B. No
 - If No, please explain. _____

Answer the following questions if you have **NOT** completed/finalized your inventory prior to receiving your SAKI funds. *[Carry Forward]*

11. During the reporting period, please enter the number of SAKs that fit in each of the following categories:
- A. Number of **SAKs Counted/Inventoried**: ____
 - B. Of the inventoried SAKs (Question 11A), number identified as **Unsubmitted**: ____
 - C. Of the inventoried SAKs (Question 11A), number identified as **Previously Tested**: ____
 - D. Of the unsubmitted SAKs (Question 11B), number determined **Not to Require DNA Testing**: ____
 - E. Of the unsubmitted SAKs (Question 11B), number that **Require DNA Testing**: ____
 - F. Of the SAKs that require DNA testing (Question 11E), number **Sent to Lab for DNA Testing**: ____
 - G. Of those sent for DNA testing (Question 11F), number of **Profiles Uploaded to CODIS**: ____
 - H. Of those profiles uploaded to CODIS (Question 11G), number of **Hits Returned/Received**: ____
 - I. Number of Kits **Tested** (i.e., a final laboratory report submitted): _____

¹ <https://www.sakitta.org/resources/docs/11752R1SAKIImplementingETS.pdf>

12. During the reporting period, of the number of unsubmitted SAKs that were determined not to require testing (identified in Question 11D), please indicate for each of the following reasons. *Please include the totals for each category. Count each SAK once under the category that best fits.*
- A. Not able to retrieve evidence _____
 - B. Determined to be previously tested with CODIS-eligible methodologies _____
 - C. Statute of limitations has expired _____
 - D. Anonymous/non-reported SAK _____
 - E. Other _____
 - F. If Other, please explain. _____
13. Of the DNA profiles uploaded into CODIS since the inception of your grant, please enter the number of CODIS hits² recorded/received during this reporting period for the following categories. *Enter "0" if there were no hits. If a sample hits to multiple cases, enter the number of cases that received a hit, but do not count those already previously reported.*
- A. **CODIS Hits** _____
 - B. **Forensic Hits:** the new profile matches DNA from an unknown forensic sample collected at a crime scene (e.g., the match is to a sample in the forensic index) _____
 - C. **Offender/Arrestee Hits:** the new profile matches the DNA of an offender profile already in CODIS (e.g., matches to a sample in the offender index) _____
14. Of the total number of **CODIS hits** obtained/received during the reporting period (identified in Question 13) were any **hits in other state(s)** than your jurisdiction? *The new profile matches the DNA of an unknown or known perpetrator in another state. Include federal hits.*
- A. Yes
If Yes, how many hits in other states were returned? _____
 - B. No *(If No, skip next question.)*
15. During the reporting period, how many **different states** have returned CODIS hits from your jurisdiction? *Count each state only once.* _____
- A. Please list each state outside of your jurisdiction that has returned a hit. _____
16. Of the number of **offender/arrestee hits**³ obtained during the reporting period (indicated in Question 13) please enter the number of CODIS hits that fit in each of the following categories:
- A. **Cold Hits:** the DNA hits to an offender who was not previously listed as a suspect/person of interest in the case _____
 - B. **Warm Hits:** the DNA hits to a listed suspect/person of interest in the case _____
 - C. **Serial Sex Offender Hits:** the DNA hits to a serial sex offender (the same individual who commits the sexual assault of two or more victims in separate events) _____
 - D. **Non-Sex Offender Hits:** the DNA hits to offenders charged/convicted of crimes unrelated to sexual assault (e.g., robbery, homicide) _____
17. During this reporting period, enter the number of cases where the CODIS hit(s) identified a different offender than the person originally named or prosecuted in the case. *Suspect(s) may be in the case file via name with no DNA profile obtained. Previously untested kits may yield new hit on someone not named in the original case file. Enter "0" if no cases emerged.*
- A. Number of cases that identified a different offender than the person (suspect/person of interest) who was originally named or prosecuted _____

² https://bja.ojp.gov/sites/g/files/xyckuh186/files/media/document/SAKI-PA1_2-Measures.pdf

³ <https://sakitta.rti.org/toolkit/index.cfm?fuseaction=tool&tool=33>

- B. Of the cases in 17A, provide the number of exonerations (charges dismissed, convictions overturned) resulting from CODIS hits naming a new suspect(s) _____

INVESTIGATIONS AND PROSECUTIONS

18. During the reporting period, were any arrests made/warrants issued as a result of CODIS hits to SAKI cases?
- A. Yes
If Yes, how many arrests/warrants? ____
- B. No, skip to the next question
19. During this reporting period, how many SAKI investigations were opened/reopened because of SAK testing? Enter "0" if there were no cases opened for investigation. ____
20. Of the investigations opened/reopened in Question 19, how many were presented to an attorney for charging decisions in this reporting period? ____
21. How many investigations have been closed and/or worked to completion during this reporting period? ____
22. Of the number of investigations worked to completion (identified in Question 21), how many cases were presented to a prosecuting attorney for a charging decision in this reporting period? ____
23. During the reporting period, how many cases were declined for prosecution/elected to not move forward by the prosecuting agency? If all cases were accepted, enter "0" and skip next question. ____
24. Please indicate the reason(s) given for not moving forward/declination of prosecution. Select all that apply.
- A. Does not meet the Probable Cause Requirements (e.g., no belief that additional investigation will result in probative evidence)
- B. Lacks a substantial government interest (e.g., offender already serving a long sentence)
- C. Victim declined to participate/requested no criminal charges be filed
- D. Referred for prosecution in another jurisdiction
- E. Pretrial diversion or non-criminal disposition recommended
- F. Prosecution declined without action (e.g., resource constraints)
- G. Defendant was deceased
- H. Statute of limitations expired
- I. Case closed by exceptional means, please explain. _____
- J. Other, please explain. _____
25. Which of the following factors did your program consider when prioritizing cases for prosecution? Select all that apply. [Carry Forward]
- A. N/A – Have not broached
- B. Age of victim
- C. Victim cooperation/victim's family cooperation
- D. Public safety concerns
- E. Statute of limitations
- F. DNA of a known offender
- G. Other/new evidence and/or witnesses emerged (not SAK-related)
- H. Other, please explain. _____

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26. During this reporting period, please enter the number of cases (worked with these grant funds) that fit in each of the following categories:

- A. Number of cases **Charged** ____
- B. Number of cases with **Charges Dismissed** ____
- C. Number of cases **Pending Trial** ____
- D. Number of cases **Resulting in a Plea Bargain** ____
- E. Number of cases **Ending with a Conviction Following Trial** ____
- F. Number of cases **Ending with an Acquittal** ____
- G. Number of cases **Ending in a Mistrial** ____

27. During this reporting period, please enter the number of victims associated with previously unsubmitted SAKS (from your SAKI inventory) who fit in each of the following categories:

- A. Number of victims located ____
- B. Of those located, number of victims contacted ____
- C. Of those contacted, number agreeing to participate in a new investigation ____
- D. Number of victims who are deceased ____

28. Indicate if you are a statewide agency without original jurisdiction to prosecute the cases in your inventory. *Enter No if you are not a statewide agency and skip to Question 34.*

- A. Yes
- B. No

(STATEWIDE AGENCIES ONLY – Answer Questions 29-33)

29. If you are a statewide agency, how many investigations did your agency directly or indirectly support during this reporting period? _

30. If you are a statewide agency, how many prosecutions did your agency directly or indirectly support during this reporting period? _

31. If you are a statewide agency, how many victim notifications did your agency directly or indirectly support during this reporting period? ____

32. If you are a statewide agency, how many exhumations did your agency support during this reporting period? ____

33. If you are a statewide agency, how many case closures did your agency assist with and/or support during this reporting period? ____

VICAP AND ADVANCED TESTING

34. Please answer the following questions regarding this grant's funded cases entered into the Violent Criminal Apprehension Program (ViCAP) database during the reporting period:

- A. Number of criteria cases⁴ entered in ViCAP ____
- B. Number of investigative leads generated from ViCAP (any SAKI) entries ____
- C. Number of suspects identified through ViCAP entry and analysis in Question 34B _

⁴ <https://www.sakitta.org/resources/docs/SAKIs-Partnership-with-the-Violent-Criminal-Apprehension-Program.pdf>

35. During the reporting period, please enter the **number of cases tested** with the following DNA testing methods:⁵

- A. Y-STR testing _____
- B. Testing of secondary evidence _____
- C. DNA phenotyping/ancestral analysis _____
- D. SNP/WGS testing _____
- E. Other _____
- F. If Other, please explain. _____

36. During the reporting period, please enter the number of cases that led to **identification of a suspect** resulting from each of the following methods:

- A. Y-STR testing _____
- B. Testing of secondary evidence _____
- C. Familial DNA searches _____
- D. Forensic genealogy searches _____
- E. DNA phenotyping / ancestral analysis _____
- F. Other _____
- G. If Other, please explain. _____

FORENSIC GENETIC GENEALOGY TESTING

37. Please indicate if you used, or plan to use, SAKI funds to conduct forensic genetic genealogical DNA analysis (FGG) and/or forensic genetic genealogical DNA analysis and searching (FGGS).⁶ *[Carry Forward]*

- A. Yes
- B. No *(If No, skip to next section.)*

38. During the reporting period, please enter the amount of federal funding used to conduct FGG/FGGS. _____

39. Please identify the type of crime and enter the number of investigations initiated during the reporting period for which FGG/FGGS was utilized.

- A. Homicide _____
- B. Sexual Assault _____
- C. Unidentified Human Remains _____
- D. Other _____
- E. If Other, please explain. _____

40. During the reporting period, how many forensic samples and reference samples were subjected to FGG/FGGS?

- A. Forensic Samples _____
- B. Reference Samples _____

41. During the reporting period, please provide the following: the type of forensic sample subjected to FGG and a description of the total amount, condition, and concentration of that sample (e.g., single source, mixed profile, degradation status, etc.).

- A. Type of Forensic Sample _____
- B. Description of total amount _____

⁵ <https://www.sakitta.org/resources/docs/SAKI-Understanding-DNA-Testing-and-Reporting.pdf>

⁶ <https://sakitta.org/webinars/webinar-view.cfm?id=62>

- C. Condition _____
- D. Concentration of the sample _____

42. During the reporting period, how many cases resulted in searchable profiles obtained from FGG/FGGS? _____
43. During the reporting period, how many investigations resulted in a new arrest that relied, in part, on the use of FGG/FGGS? _____
44. During the reporting period, how many suspects were identified as a result of the use of FGG/FGGS? And how many suspects were prosecuted as a result of the use of FGG/FGGS?
- A. Number of suspects identified _____
 - B. Number of suspects *prosecuted* _____
45. Please identify the vendor laboratory/laboratories used to conduct FGG during the reporting period. _____
46. Please provide the names of any genetic genealogy service(s) used to search the FGG profile(s) during the reporting period. _____
47. During the reporting period, how many cases were closed or cleared by exceptional means through the use of FGG/FGGS? *To be cleared by exceptional means, the agency must have identified the offender and gathered enough evidence to support an arrest/conviction. Examples may include the identification of a deceased offender or the identification of an offender who is already serving a life sentence for an unrelated conviction, and it is determined that prosecution will not be pursued.* _____

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TRAINING AND TECHNICAL ASSISTANCE

Training

48. Did the project provide or facilitate training to project staff (or individuals involved in the project) during the reporting period? *A program of instruction on a particular topic and/or a skill related to a specific operation for either individuals who can use the information or skill on their job, or trainers who will provide instruction to others. Examples include: providing training in a classroom/onsite or virtual setting; hosting and/or conducting a webinar; or conducting a workshop at a conference or summit.*

A. Yes

If Yes, how many trainings were completed during the reporting period? ____

B. No *(If No, skip to Question 52.)*

49. For each of the trainings completed during the reporting period, list who provided the training, the target audience, number of people trained, and the name of the training provider. Count each person only once per training topic, regardless of how many times he/she attended the training. You may report five trainings per reporting period.

Training Topic	Target Audience	Number of People Trained	Training Provider	What funds were used to provide the training?
[Open text]	<ul style="list-style-type: none"> • Law enforcement supervisors • Detectives/investigators • SANEs/forensic nurses • Forensic lab personnel • Prosecutors • Victim advocates • Other 	[Positive whole number]	[Open text]	<ul style="list-style-type: none"> • Grant funds • National Training and Technical Assistance Center (NTTAC) • Other Office of Justice Programs (OJP) Funds • Other • Unknown

50. Will your grant program be able to directly apply the knowledge obtained through the training(s)?

A. Yes.

B. No, the training(s) may be useful to advocate for new approaches but will not be applied directly at this time.

C. No, the training(s) did not provide information that can be directly applied to the grant program.

51. Please describe the impact that the training(s) will have on specific outcomes/objectives related to the goals of your program.

Technical Assistance

52. Did you receive any technical assistance (TA) during the reporting period? TA is targeted assistance to a site, agency, or jurisdiction for the purpose of assessing gaps, barriers, and needs; developing strategic plans; or creating innovative approaches to emerging or complex issues.

A. Yes

B. No *(If No, skip to the next section.)*

C. If Yes, how many separate TA providers did you work with during the reporting period? Please clarify with the TA provider if they are OJP-funded. ____

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53. For each technical assistance provider you interacted with during the reporting period, please enter the following information. *The number of entries should equal the number you entered in Question 52C (up to 5 per quarter).*

Organizational Name of TA Provider	TA Topic	Nature of Contact (Select All That Apply)	Number of Engagements	Satisfaction	Feedback on Your Encounters with This TA Provider	What funds were used to provide the TA?
[Open text]	[Drop down list from NTTAC]	<ul style="list-style-type: none"> • Conference • Direct assistance • National program or policy advancement • Operational support • Training • Other (if Other, please explain.) 	[Positive whole number]	<ul style="list-style-type: none"> • Very Satisfied • Satisfied • Neither Satisfied nor Dissatisfied • Dissatisfied • Very Dissatisfied 	[Open Text]	<ul style="list-style-type: none"> • Grant funds • NTTAC • Other OJP Funds • Other • Unknown

54. Will your grant program be able to directly apply the knowledge obtained through technical assistance?

- A. Yes
- B. No, the TA may be useful to advocate for new approaches but will not be applied directly at this time.
- C. No, the TA did not provide information that can be directly applied to the grant program.

55. Please describe the impact that the training and TA may have on specific outcomes/objectives related to the goals of your program.

OTHER RELEVANT ACTIVITIES

56. Do you have other grant-related activities to report for this reporting period that have not otherwise been covered by the questions contained herein? If so, please list: _____

SEMIANNUAL NARRATIVE QUESTIONS

In this module, you will identify the goals you hope to achieve with your funding. Once submitted, these goals cannot be changed without approval from your grant manager.

Set **S·M·A·R·T** goals to clarify the scope of your priorities.

- *Specific*
- *Measurable*
- *Achievable*
- *Relevant*
- *Time-bound*

If you have multiple goals, please provide updates on each one separately.

Please answer the following questions every semiannual reporting period (January and July of each year), based on your grant-funded activities.

1. What were your accomplishments during the reporting period?

2. What goals were accomplished, as they relate to your grant application?

3. What problems/barriers did you encounter, if any, within the reporting period that prevented you from reaching your goals or milestones?

4. Is there any assistance that BJA can provide to address any problems/barriers identified in Question 3?
 - A. Yes
 - B. No
 If Yes, please explain. _____
5. Are you on track to fiscally and programmatically complete your program as outlined in your grant application?
 - A. Yes
 - B. No
 If No, please explain. _____
6. What major activities are planned for the next 6 months?

7. Based on your knowledge of the criminal justice field, are there any innovative programs/accomplishments that you would like to share with BJA?

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